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The impact of COVID-19 on household food insecurity and interlinkages with child feeding practices and coping strategies in Uttar Pradesh, India

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1 The impact of COVID-19 on household food insecurity and interlinkages with child feeding
2 practices and coping strategies in Uttar Pradesh, India

3 Authors:

4 Phuong Hong Nguyen¹, Shivani Kachwaha¹, Anjali Pant¹, Lan Mai Tran², Sebanti Ghosh², Praveen
5 Kumar Sharma², Vishal Dev Shastri², Jessica Escobar-Alegria², Rasmi Avula¹, Purnima Menon¹

6 Author affiliations:

7 ¹ Poverty, Health and Nutrition Division, International Food Policy Research Institute, Washington,
8 DC, USA; ²Alive &Thrive, FHI Solutions, Washington, DC, USA

9 *Corresponding author:

10 Phuong Hong Nguyen, Poverty, Health and Nutrition Division, International Food Policy Research
11 Institute, 2005 I Street, NW, Washington DC, 20005. Phone: 202-826-4088. Email:
12 P.H.Nguyen@cgiar.org

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14 Abbreviations

15 AOR: : Adjusted odds ratio
16 COVID-19 : Coronavirus
17 HFI : Household food insecurity
18 PDS : Public distribution system
19 SES : Socio-economic status
20 THR : Take-home rations

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3 22 **ABSTRACT**
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5 23 **Introduction:** The Coronavirus (COVID-19) pandemic has profound negative impacts on people’s
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8 24 lives, but little is known on the effect of COVID-19 on household food insecurity (HFI) in poor
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10 25 setting resources. This study used digital data collection methods to assess the changes in HFI during
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12 26 the pandemic and examined the interlinkages between HFI with child feeding practices and coping
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15 27 strategies in Uttar Pradesh, India.

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17 28 **Methods:** We conducted a longitudinal quantitative survey with 569 mothers with children <2y in
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19 29 December 2019 (in-person) and August 2020 (by phone). We measured HFI by using the Household
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21 30 Food Insecurity Access Scale and examined the changes in HFI during the pandemic using the
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24 31 Wilcoxon matched-pairs signed-rank tests. We then assessed child feeding practices and coping
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26 32 strategies by HFI status using multivariable regression models.

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28 33 **Results:** HFI increased sharply from 21% to 80% before and during COVID-19, with 62%
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30 34 households changing the status from food security to insecurity and 17% remaining food insecure.
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33 35 Children belonging to newly and consistently food-insecure households were less likely to consume
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35 36 a diversified diet (adjusted odds ratio, AOR: 0.56, p=0.03 and AOR: 0.45, p=0.04, respectively)
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38 37 compared to those in food-secure households. Households with food insecurity were more likely to
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40 38 engage in coping strategies for obtaining foods including reducing other essential non-food
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42 39 expenditures (AOR: 1.7-2.2), borrowing money to buy food (AOR: 3.6- 4.3), selling jewelry (AOR:
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44 40 3.0-5.0), and spending savings or selling other assets (AOR~2.0), all p<0.05.

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47 41 **Conclusions:** COVID-19 had a significant negative impact on HFI, which in turn had implications
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49 42 for child feeding practices and coping strategies. Our findings demonstrated the feasibility of
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51 43 gathering information on HFI using digital data collection methods and highlighted the need for
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44 further investment in targeted social protection strategies and safety nets as part of multisectoral
45 solutions to improve HFI during and post-COVID-19.

46 **Keywords:** COVID-19, child feeding practices, coping strategies, household food insecurity, India,
47 pandemic

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Strengths and limitations of this study

- Using longitudinal surveys with a cohort of mothers with children <2 years, our study provides unique evidence of changes in household food insecurity before the pandemic and 6 months after the onset of COVID-19 in the context of a low-middle-income country.
- Our study bridges the gap in literature on the interlinkages between household food insecurity with child feeding practices and coping strategies to obtain foods to deal with household economic hardships during the pandemic.
- Our study demonstrates the feasibility of gathering information on household food insecurity via digital data collection methods but indicates some challenges including low response rate and inability to reach some of the poorest or most vulnerable households through phone surveys.
- Since all mothers in our study had children <6 months in December 2019, we were unable to obtain information on complementary feeding to compare child feeding practices before and during COVID pandemic.

INTRODUCTION

The Coronavirus disease (COVID-19) has profound and wide-ranging public health impacts with significant global threat. Beyond the direct impacts from the virus, the pandemic will likely have a range of indirect consequences on food insecurity, child malnutrition, morbidity and mortality through disruptions in health and nutrition services, food supply chains, and livelihoods.¹⁻⁴ Early estimates suggest that potential disruptions of health systems and decreased access to food could lead to 1,157,000 additional child deaths and 56,700 additional maternal deaths.⁵ Further, disruptions caused by the pandemic may affect households in multiple other ways including employment and income loss, mobility constraints, and household stress. Experts have warned about the potential consequences of COVID-19, ruining decades of progress, making it unlikely for low and middle income countries to reach the sustainable development goal to “end hunger, achieve food security and improved nutrition and promote sustainable agriculture” by 2030.⁶

There have been growing concerns on the impact of COVID-19 on household food insecurity (HFI).^{7,8} Disruptions caused by the pandemic have the potential to influence all “four pillars” of food security including availability, access, utilization, and stability.⁹ The pandemic may influence HFI directly on the supply side by disrupting food systems (such as primary food production, stability of food production, processing, food reserve stockpiles, and marketing) as well indirectly on the demand side due to impact of lockdowns on households’ incomes, physical access to foods, and economic access to food.^{10,11}

The impact of COVID-19 on HFI and poor health outcomes is complex, multilevel, and bidirectional.⁴ At the household and individual levels, food insecurity is hypothesized to be a risk factor for both short- and long-term health outcomes through key three pathways: household stress (due to worrying about health issues, job loss and strained finances, and disconnection from social

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3 72 support systems), behavioral coping mechanisms (engaging in high-risk behavior, compromise
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5 73 health care activities for foods, poor mental health and inadequate child feeding and nurturing), and
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8 74 inflammatory pathways.⁴ Expected negative consequences on food, nutrition, and health security of
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10 75 vulnerable groups including young children, pregnant, and lactating women may further exacerbate
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12 76 existing social and health inequities.¹²
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15 77 Despite established frameworks and global understanding of the threat to HFI during the
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17 78 pandemic, empirical investigations are very limited till date. India is facing the extreme levels of the
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19 79 double crises- COVID-19 and food insecurity,¹³ carrying the second highest burden of COVID-19 in
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21 80 the world with nearly 8 million total confirmed cases and 119,502 deaths as of 28th October 2020.¹⁴
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23 81 Yet only few studies were available on food security using data at the farmer and supply-side level,¹⁵
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25 82 ¹⁶ and negligible evidence on the demand side. Very little is known about how women and children
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27 83 within households may be affected by HFI. Further, there is lack of empirical evidence on the
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29 84 changes in HFI from before and during the pandemic. Addressing this knowledge gap is critical for
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31 85 action, specifically at this decisive time in India when the COVID-19 trajectory is still uncertain, and
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33 86 there is growing concern about potential spikes in the coming months. Our study seeks to bridge this
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35 87 gap in the current literature with the objectives to 1) assess the changes in HFI before and during the
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37 88 pandemic in Uttar Pradesh, India; and 2) examine the interlinkages between HFI with child feeding
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39 89 practices and coping strategies to deal with household economic hardships and obtain foods. We
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41 90 also aim to demonstrate the feasibility of assessing HFI through digital data collection methods and
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43 91 potential implications from using this method.
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METHODS

Design

This study is a follow up of a cluster-randomized trial (2017-2019) which aimed to assess the impact of strengthening delivery of maternal nutrition interventions, including micronutrient supplements and intensifying interpersonal counseling and community mobilization, implemented through government ANC services in Uttar Pradesh, India.¹⁷ Details of the parent study have described elsewhere. Briefly, we conducted in-person repeated cross-sectional surveys of 1,800 recently delivered women as part of the cluster-randomized trial.¹⁷ The end-line data collection was conducted in December 2019, prior to the onset of COVID-19 pandemic, providing an opportunity for a pre-and-post assessment of the effect of COVID-19 on food insecurity in this context.

Data sources

The household survey was conducted with mothers of children <2 years old following the same study design and sampling frame as in the cluster-randomized trial. Of the 1,849 mothers survey at endline from the parent study in December 2019, 587 could be reached for a phone interview in August 2020, yielding a response rate of 32% (**Figure 1**). Reasons for not being able to conduct phone survey included unavailable contact phone number (n=388), phone unreachable or switched off (n=667), wrong number (n=136), refusal to participate (n=63) and child death (n=9). Reasons for losses to follow up in phone survey were similar between intervention and comparison areas (results not shown). The total sample of non-pregnant mothers (n=569) who were interviewed in both surveys were used for the analysis.

<Insert Figure 1 here>

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Variables

Household food security was measured before and during the pandemic using the standard FANTA/USAID’s Household Food Insecurity Access Scale.¹⁸ Mothers were asked nine questions related to the household’s experience of food insecurity in the 30 days preceding the survey. These questions capture 3 main domains of household food insecurity: anxiety and uncertainty about the household food supply (1 item), insufficient quality (3 items), and insufficient quantity and its physical consequences (5 items). We reported the percentage of households that experienced 1) any food insecurity occurrence among nine questions, 2) any of a specific domain, and 3) food insecurity condition categorized as food-secure and mild, moderately, or severely food-insecure.

Information on child feeding practices were collected using the open 24hr dietary recall, where the mother was asked about all foods and liquids consumed by the child in different time periods of the previous 24 hours before the survey. All food items were categorized into the 7 food groups used in the WHO guideline:¹⁹ 1) starchy staple foods, 2) legumes and nuts, 3) dairy products (milk, yogurt, and cheese), 4) flesh foods, 5) eggs, 6) vitamin A rich fruits and vegetables, and 7) other fruits and vegetables. Minimum dietary diversity was defined as children who consumed foods from 4 or more out of 7 food groups in the previous 24 hours. Data for complementary feeding practices were not available during the in-person survey in December 2019, because all mothers had children <6 months during that time.

Households were also asked about access to social protection, especially food supplementation they received for mothers and children from the government during the lockdown period and during the 30 days prior to the survey, such as take-home rations (THR) and use of public distribution system (PDS). Finally, information on different coping strategies that the household had

136 to engage in the past 30 days due to lack of food was collected, including spending savings, reducing
137 essential non-food expenditure, borrowing money, or selling jewelry/gold.

138 Other potential factors associated with food security or child feeding practices were obtained
139 at maternal (age, education level, and occupation), child (age and sex), and household levels
140 (religion, scheduled caste/tribal - designated historically disadvantaged groups in India, number of
141 children <5y, and household socio-economic status- SES). The SES index was constructed using a
142 principal component analysis from multiple variables including household ownership of assets,
143 livestock, and housing quality.²⁰

144 **Data analysis**

145 We compared background characteristics of the analytic sample (mothers who completed
146 both surveys, in-person survey before COVID and phone survey during COVID) and the non-
147 analytic sample (those who completed in-person surveys only) using student t-test (for continuous
148 variables) and chi-square test (for categorical variables). We used descriptive analysis to report HFI
149 and child feeding practices. We examined changes in HFI before and during the pandemic using
150 Wilcoxon matched-pairs signed-rank tests.

151 To examine differences in child feeding practices and coping strategies by food insecurity
152 status, we created three categories of households: 1) food secure (households who were food secure
153 before and during COVID-19 pandemic and those who were food insecure at some point before but
154 were no longer food insecure during the pandemic), 2) consistently food insecure (both food
155 insecure before and during COVID-19); 3) newly food insecure (food secure before COVID-19 but
156 became food insecure during the pandemic). We then compared child feeding practices and coping
157 strategies among the three categories using multivariable regression models, adjusting for child age
158 and sex, mother's age, religion, and education, scheduled caste, and number of children <5y in the

household. We also examined uptake of social protection programs such as food supplementation and cash transfer as potential strategies to improve HFIs. All statistical analyses were undertaken using Stata version 16.

Ethical approval

Informed consent in local language was obtained from mothers, FLWs, and block managers prior to their participation in the study. The research protocol received ethical clearance from the Institutional Review Board at the International Food Policy Research Institute (IRB #00007490) and the Suraksha Independent Ethics Committee in India (IRB #2017-10-9094). Additional permissions for data collection were provided by State Government of Uttar Pradesh.

Patient and public involvement statement

Patients or the public were not involved in the design, or conduct, or reporting, or dissemination plans of our research

RESULTS

Characteristics of the study sample

At the time of in-person survey in December 2019, all mothers had an infant between the ages of 0–5.9 months of age with an average age of 3 months (Table 1). On average, mothers were ~26 years and majority of them (>90%) were housewives. Nearly all women were Hindu (92%) and nearly half of them belonged to a backward community (44-47%). Mothers in the final analytic sample had higher education (8.2 vs. 6.7 years of schooling) and lived in wealthier (27% vs. 17% in quintile 5) and more food secure households (79% vs. 75%) compared to those in non-analytic sample. Mothers belonging to intervention and control areas of the maternal nutrition intervention (from 2017 to 2019) were equally represented in the analytic sample.

Table 1: Background characteristics¹ of the study sample participated in surveys before and during the COVID pandemic (December 2019 and August 2020)

	Analytic sample (both in person and phone surveys before and during the pandemic) (n= 569)	Non-analytic sample (only in person survey before the pandemic) (n= 1,280)	p
Age of respondent mother (years)	25.5 (3.8)	25.7 (4.0)	0.47
Education (years)	8.2 (4.3)	6.7 (4.6)	<0.001
Never attended school	14.1	24.8	<0.001
Primary school (grade 1-5)	13.9	16.3	
Middle school (grade 6-9)	24.3	24.7	
High school (grade 10-12)	30.1	23.3	
Graduate and above	17.8	10.9	
Occupation as housewife	91.7	93.0	0.35
Child age, mos	3.0 (1.6)	2.8 (1.6)	0.041
Child sex (male)	49.0	49.5	0.84
Number of children <5y	1.6 (0.7)	1.7 (0.7)	0.60
Religion as Hindu	93.7	91.1	0.061
Caste category			
Scheduled caste/tribe	38.3	38.4	0.25
Other Backward Class	44.3	47.0	
General/others	17.4	14.5	
Household socio-economic status			
Quintile 1	11.6	23.8	<0.001
Quintile 2	19.2	20.4	
Quintile 3	18.1	20.9	
Quintile 4	24.6	18.0	
Quintile 5	26.5	17.0	
Household food security status			
Food secure	79.3	74.5	0.08
Mildly food insecure	5.6	5.9	
Moderate food insecure	5.1	5.3	
Severe food insecure	10.0	14.3	
Maternal nutrition (2017-2019)			
Intervention area	282	640	
Comparison area	287	640	

¹Background data presented in this table were from in -person survey in December 2019

Changes in food security status during the COVID pandemic

Prior to the pandemic, 21% of households were identified as food insecure. During the pandemic, HFI experiences sharply increased for each separate item and each domain, as well as among the categories (Figure 2). For example, the prevalence of anxiety and uncertainty about the household food supply, insufficient quality of food, and insufficient quantity of food consumed during the pandemic were 45%, 78%, and 42%, respectively, which was much higher than before the pandemic (12%, 18%, and 14%, respectively). The prevalence of any food insecurity increased from 21% to 80%, of which mildly, moderately and severely food insecure households increased by 14 percentage points (pp), 25 pp and 20 pp, respectively. Overall, 62% households changed from being food secure to insecure during the pandemic, while only 17% remained food insecure.

<Insert Figure 2 here>

Child feeding practices during the COVID pandemic

Child feeding practices is of a major concern, with only 19% of children achieved minimum dietary diversity (≥ 4 food groups). Extremely low proportion of children were fed with flesh food (1%), egg (1%) and vitamin-A rich fruit and vegetables (4%). A third of children consumed other fruits and vegetables and nearly two thirds consumes legumes and nuts in the 24 hours prior to the survey (Figure 3).

<Insert Figure 3 here>

Association between food insecurity status and child feeding practices during the COVID pandemic

Children living in households that became food-insecure since the pandemic were less likely to consume a diversified diet (18% vs. 28%; adjusted odds ratio, AOR: 0.56, $p=0.025$) as well as legumes and nuts (57% vs. 69%; AOR: 0.59, $p=0.024$) compared to children living in consistently

food secure households (Table 2). The child feeding practices were worse in the households that were food insecure at both times. Specifically, fewer children in consistently food-insecure households consumed a diverse diet (12.4% vs. 28%; AOR: 0.45, $p=0.044$) and other fruits and vegetables (21% vs. 40%; AOR: 0.47, $p=0.021$) compared to those in food secure households.

Table 2: Association between child dietary diversity and household food insecurity status during the pandemic

	Currently food secure n=116 %	Newly food insecure ¹ n=354 %	Consistent food insecurity n=99 %	New food insecurity vs. food secure ²		Consistent food insecurity vs. food secure ²	
				OR (95%CI)	p	OR (95%CI)	p
Grain	79.3	80.8	78.8	0.98 (0.57, 1.67)	0.93	0.85 (0.42, 1.70)	0.64
Legumes and nuts	69.0	56.8	55.6	0.59 (0.37, 0.93)	0.024	0.62 (0.35, 1.12)	0.11
Dairy	74.1	76.3	79.8	1.20 (0.73, 1.96)	0.47	1.63 (0.83, 3.19)	0.16
Flesh foods	0.9	0.6	2.0	0.31 (0.04, 2.30)	0.25	0.72 (0.08, 6.55)	0.77
Eggs	0.9	1.1	1.0	1.31 (0.14, 12.25)	0.82	1.18 (0.07, 21.38)	0.91
Vit A rich fruits and vegetables	4.3	4.3	2.0	0.87 (0.30, 2.50)	0.79	0.43 (0.08, 2.36)	0.33
Other fruits and vegetables	39.7	33.5	21.2	0.73 (0.46, 1.14)	0.17	0.47 (0.24, 0.89)	0.021
Minimum dietary diversity (≥ 4 food groups)	28.1	17.9	12.4	0.56 (0.33, 0.93)	0.025	0.45 (0.21, 0.98)	0.044

¹Currently food secure was defined as households who were food secure before and during COVID-19 pandemic and those who were food insecure at some point before but were no longer food insecure during the pandemic, consistent food insecurity was defined as both food insecure before and during COVID-19; newly food insecurity insecure was defined as food secure before COVID-19 but became food insecure during the pandemic. ²Model controlled for child age, sex, mother's age, education caste, religion, and number of children <5y in the household.

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Challenges faced during the pandemic

The key challenges faced by households in consuming food in the last 7 days preceding the survey include non-availability of funds to buy food (59%), non-availability of foods in market area (21%), increase in food prices (17%), and inability to travel or transport issues (21%). The pandemic resulted in unemployment/loss of income in 78.4% households.

Coping strategies and household food insecurity status during the COVID pandemic

More than 60% households disbursed their savings and reduced their expenses on health and non-food essentials to meet food and other requirements, irrespective of their food security status (Table 3). Households experiencing food insecurity were more likely to engage in coping strategies related to obtaining food including reducing their expenditure on non-food essentials (AOR: 1.7 and 2.2 for newly an consistently food-insecure households, respectively), borrowing money to buy food (AOR: 3.6 and 4.3, respectively), and selling jewelry (AOR: 3.0 and 5.0, respectively). Additionally, newly food-insecure households were ~2 times more likely to spend saving or sell households/assets/transport means.

Table 3: Association between current coping strategies and household food insecurity status during the pandemic

Indicators	Currently food secure	Newly food insecure ¹	Consistent food insecurity	New food insecurity vs. food secure ²		Consistent food insecurity vs. food secure ²	
	n=116	n=354	n=99	OR [95%CI]	p	OR [95%CI]	p
Spent savings	83.6	91.0	89.9	2.05 (1.09, 3.88)	0.027	1.73 (0.71, 4.18)	0.23
Reduced health expenditure	64.7	72.0	74.7	1.33 (0.84, 2.10)	0.23	1.49 (0.79, 2.80)	0.22
Reduced other essential non-food expenditures such as education and clothes	66.4	77.4	81.8	1.73 (1.08, 2.78)	0.024	2.15 (1.09, 4.24)	0.027
Borrowed money to buy food	25.0	54.8	63.6	3.57 (2.19, 5.80)	<0.001	4.29 (2.31, 7.95)	<0.001
Reduced expenses on agricultural, livestock or fisheries inputs	23.3	33.3	35.4	1.64 (0.99, 2.72)	0.055	1.78 (0.94, 3.38)	0.078
Selling jewellery/gold	4.3	13.0	21.2	3.03 (1.16, 7.92)	0.024	4.98 (1.74, 14.27)	0.003
Selling household goods or productive assets or means of transport	19.0	29.4	27.3	1.78 (1.03, 3.07)	0.038	1.64 (0.83, 3.26)	0.16

¹Currently food secure was defined as households who were food secure before and during COVID-19 pandemic and those who were food insecure at some point before but were no longer food insecure during the pandemic, consistent food insecurity was defined as both food insecure before and during COVID-19; newly food insecurity insecure was defined as food secure before COVID-19 but became food insecure during the pandemic. ²Model controlled for child age, sex, mother's age, education caste, religion, and number of children <5y in the household.

Social protection before and during the COVID pandemic

The proportion of households receiving THR was similar before and during the pandemic, with slightly higher in food-insecure (~63%) compared to food-secure households (55-59%) (Figure 4). In contrast, coverage of PDS ration increased significantly during the pandemic for both food-insecure (61% to 71%) and food-secure households (from 49% to 72%); the increase was smaller among beneficiaries from consistently food-insecure compared to those in food-secure households (9.3 pp vs. 23 pp).

<Insert Figure 4 here>

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DISCUSSION

In response to global concerns on the impact of COVID-19 on maternal and child food and nutrition insecurity, our study provides unique evidence of changes in HFI before and during the pandemic and its linkage with child feeding practices as well as coping strategies to obtain foods among food secure and insecure households. We found that HFI increased substantially during the pandemic (60 pp), with a large portion related to insufficient quality (78%) and lower levels related to insufficient quantity (42%). Children living in food-insecure households were less likely to consume a diversified diet, mainly due to less consumption of legumes and nuts, fruits and vegetables. In order to overcome the challenges during the pandemic, households were compelled to engage in several coping strategies related to spending existing savings, reducing household expenditures, selling assets, or borrowing money.

Our findings were consistent with global literature on the increase in HFI during the pandemic.²¹⁻²⁵ However, most previous studies were conducted in developed countries and mainly obtained information during the pandemic. The only two studies with information before and during COVID-19 time was from US, one found 32% increase in HFI since COVID-19²⁶ while the other found an increase of 20%.²¹ Our study showed much higher magnitude of increase in HFI (~60%), which is a worrisome finding given the high pre-existing levels of food insecurity in India. We also found that HFI was predominantly due to insufficient food quality concerns which was aligned with a previous study which showed increased consumption of high-calorie snack foods and sweets,^{21 23} or cheaper highly processed foods.⁴

Our findings indicate challenges to several food security dimensions, including livelihood and income loss, economic and physical access, availability, and utilization. A study on livelihood and dietary effects of COVID-19 with vegetable producers in four states of India reported negative impacts on production, sales, prices, and incomes among majority of farmers,¹⁵ Farm households

also reported disruptions to their diets with reduced ability to access nutrient-dense foods, particularly fruit and animal source foods.¹⁵ Another study in Maharashtra, India finds disruptions in the urban-rural food supply chain due to the closure of wholesale markets with uncertainties in food supply, declines in market availability, and increase in food prices.¹⁶ These findings are complementary to our study and the supply-side insights possibly explain some of the trends we observe in food security, child feeding, and coping strategies.

To our knowledge, infant and child feeding practices during the pandemic have not been explored in the literature. Our findings showed that the diets of children were suboptimal, with only 19% achieving minimum dietary diversity – a similar result compared to a previous study in Uttar Pradesh, India before COVID pandemic (17%).²⁷ We also found that children living in food-insecure households had much poorer diets than those in food-secure household, but the proportion of children consuming flesh foods, eggs, and vitamin A fruits and vegetables is very low, irrespective of food security status. During the COVID-19 pandemic, child feeding practices has been reported to change, particularly among food-insecure households, due to higher levels of stress, fewer resources, and less access to food and affordability, leading to restrict the quantity and quality of food their children eat and more parents' controlling feeding behaviors.²¹ Other studies also showed that mothers of the children in food-insecure households often prioritized shelf-stable foods to deal with food supply disruptions and social-distancing policies, and have tendency to rely on energy-dense foods for a longer period of time.⁴

We found that all households in our study engaged in some coping strategies to obtain food regardless of HFI status, but food-insecure households were more likely to engage in several such practices. Our findings are consistent with literature stating that the main strategies that food-insecure households generally rely on to maintain access to food include shifting within their own

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3 301 spending patterns to prioritize food (reducing expenses on health, other non-food expenditures, or
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5 302 agricultural, livestock or fisheries inputs), relying on social network, or access to government
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7 303 nutrition programs.^{4 26} However, all these strategies can easily be impacted when COVID pandemic
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9 304 severely affects the entire household budget, or social-distancing policies could affect network
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11 305 access. The coping strategies households have adopted to obtain food during COVID-19 will run out
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13 306 and will not suffice for preventing HFI from getting worse if the pandemic crisis continues.
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17 307 Social protection strategies are an important intervention to address the rising levels of HFI
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19 308 in the context of COVID-19, particularly for low-income countries.²⁸ A global review of evidence by
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21 309 World Bank found that India increased coverage of cash transfers from ~2% before the pandemic to
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23 310 about 15% during COVID.²⁹ The Indian government also initiated home-delivery of take-home
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25 311 rations for pregnant and lactating women and children and provided one-month free supply of wheat
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27 312 and rice to the poorest ration card holders through the public distribution system.³⁰ Our findings on
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29 313 the increased access to PDS during COVID-19 align with previous conclusions about the important
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31 314 role of the program as an essential component of the Government's response to food insecurity.³¹
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33 315 Despite these measures, food supplementation was received among just over half of households and
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35 316 the increase in access to PDS was smaller among beneficiaries that are consistently food insecure
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37 317 compared to those food secure. These results highlights an important opportunity to strengthen the
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39 318 Government's response to reduce food insecurity during and after COVID-19 in the short term by
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41 319 improving efficiency of existing social protection strategies and targeting to the most vulnerable
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43 320 populations.^{31 32} Other strategies which may be considered include outlining specific
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45 321 recommendations to ensure food security for poor and vulnerable populations as done for other
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47 322 developing countries in Africa ³³ and include special initiatives for migrant populations.³⁴ Certain
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49 323 agricultural reforms may also be considered³⁵ such as home gardening,³⁶ diversification of
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324 production, and strong local market chains³⁷ to alleviate HFI, improve diets, and reduce reliance on
325 coping strategies due to food insecurity.

326 Our study followed the cohort of mothers before the pandemic and 6 months after the onset
327 of COVID-19, thus offered a unique and timely contribution to the literature on the magnitude and
328 nature of increase in HFI before and during the pandemic, and its implications for child feeding
329 practices and coping strategies in the context of a low-middle-income country with prevailing high
330 HFI. Given the restrictions on movement and contacting people, we were able to mobilize phone
331 survey to reach mothers and using the same instrument to measure food security over time. Our
332 experience demonstrated the feasibility of gathering information on HFI via digital data collection
333 methods but indicated potential challenges and bias in the background characteristics of respondents
334 interviewed through in-person vs. phone surveys. Mothers who responded to phone survey had
335 slightly higher education and SES background compared to those in the non-analytic sample (only
336 in-person survey), indicated that we may not be able to reach some of the poorest or most vulnerable
337 households through the phone survey. We also experienced similar challenges as other phone
338 surveys³⁸ including low response rate, several calling schedules during the survey and potential
339 unknown response bias. Finally, since all mothers in our study had children <6 months in December
340 2019, we were unable to obtain information on complementary feeding to compare child feeding
341 practices before and during COVID time.

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343 CONCLUSION

344 COVID-19 had a significant negative impact on HFI in this context, which in turn had
345 implications for child feeding practices and reliance on coping strategies to obtain foods. Our study
346 highlighted the opportunity to reduce HFI in the short-term with existing resources by improving the

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347 targeting of social protection benefits to effectively reach the food insecure and make quality diets
348 accessible. Given the great concern of the expected increase in HFI as the pandemic continues,
349 strengthened multisectoral response is needed to ensure effective re-establishment of health and
350 nutrition services, food supply chains, and restoration of livelihoods to improve household food
351 security during and after the pandemic.

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352 **FIGURE LEGENDS**

353 **Figure 1.** Participant flow

354 **Figure 2.** Food insecurity experienced by mothers and their household members in the previous 30
355 days before and during the COVID pandemic

356 **Figure 3:** Child feeding practices during the COVID pandemic

357 **Figure 4:** Household receipt of social protection benefits before and during the pandemic, by
358 household food insecurity status

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AUTHOR CONTRIBUTIONS

PHN: conceive paper, analysis, draft manuscript, consolidate comments from all co-authors, revised and finalize paper.

SK: Field work coordination, literature review, draft some parts of the manuscript, revised and finalize paper.

AP: Field work coordination, data analyses, draft some parts of the manuscript, review manuscript.

LMT: data analyses, visualization for data presentation, review manuscript.

SG, PKS, VDS, JE: data interpretation and its implications, reviewed and edited the manuscript.

RA and PM reviewed the statistical analyses, supported data interpretation, reviewed and edited the manuscript.

All authors read and approved the final submitted manuscript.

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CONFLICT OF INTEREST STATEMENT:

Authors declare no conflicts of interest.

DATA AVAILABILITY STATEMENT:

All relevant data are within the manuscript and its Supporting Information files. Additional original data can be provided upon request.

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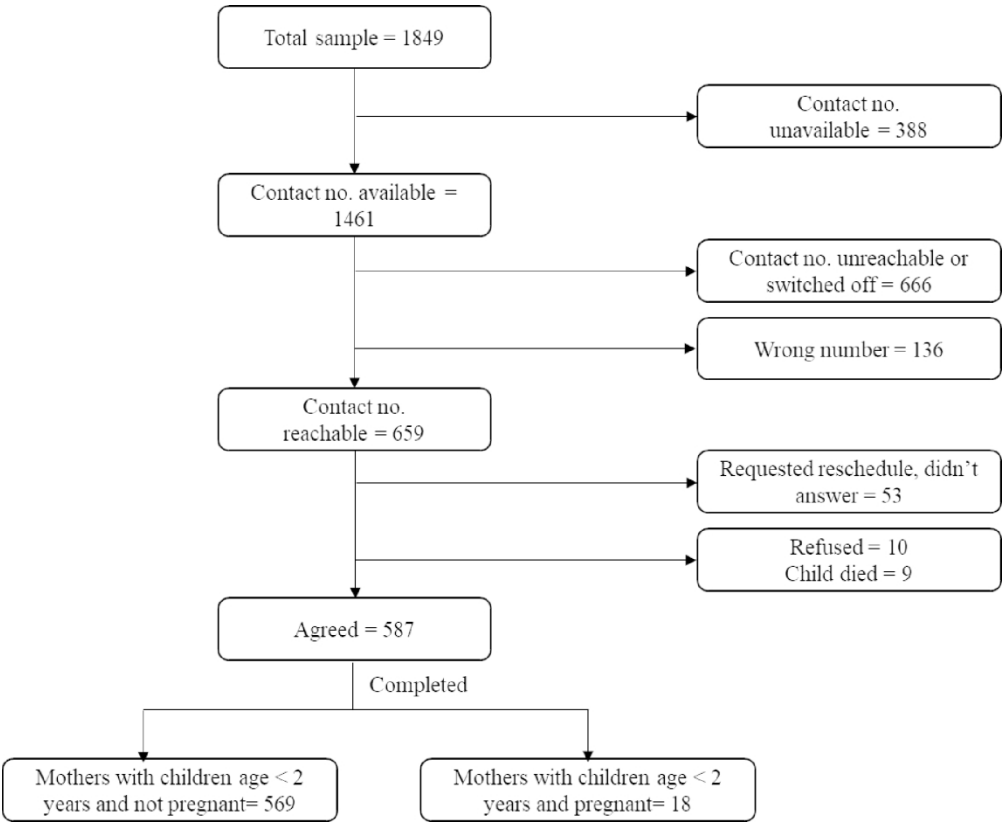
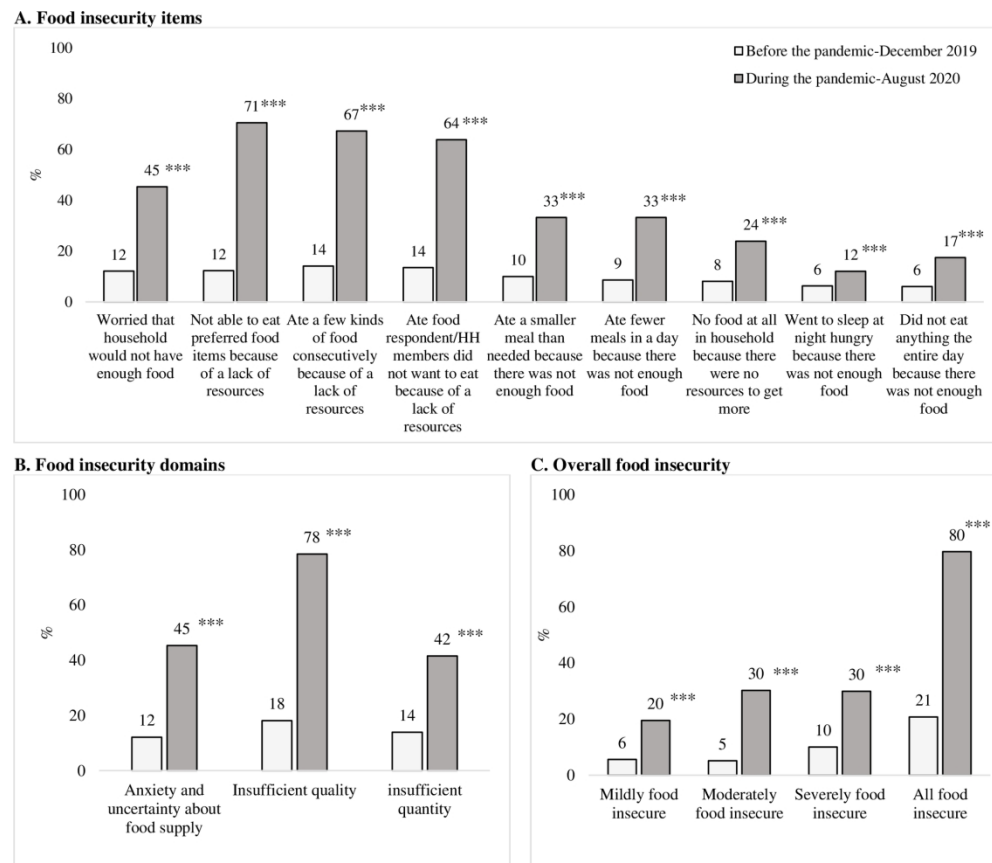


Figure 1. Participant flow

172x140mm (300 x 300 DPI)



Significant change from before and during the pandemic: ***p<0.001

Figure 2. Food insecurity experienced by mothers and their household members in the previous 30 days before and during the COVID pandemic

190x168mm (300 x 300 DPI)

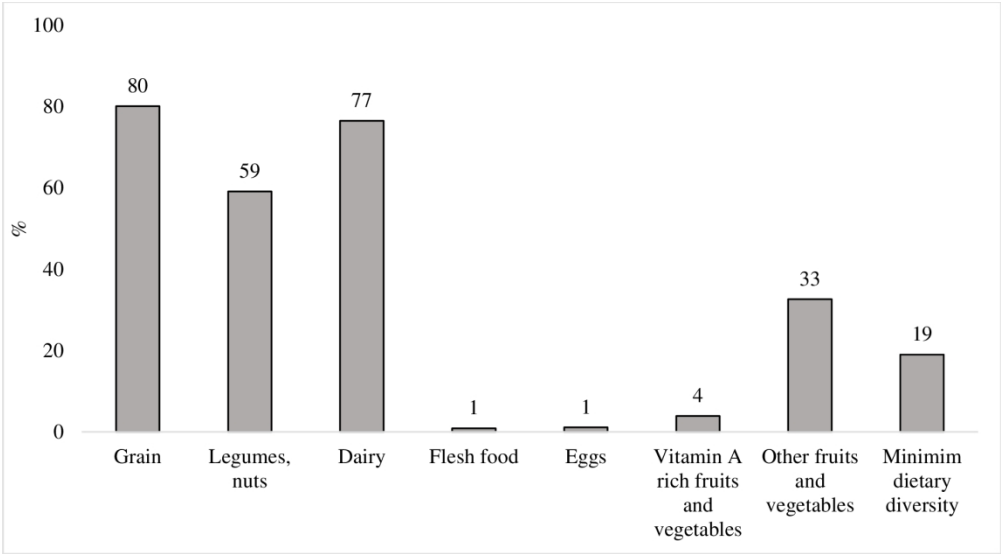


Figure 3: Child feeding practices during the COVID pandemic
168x92mm (300 x 300 DPI)

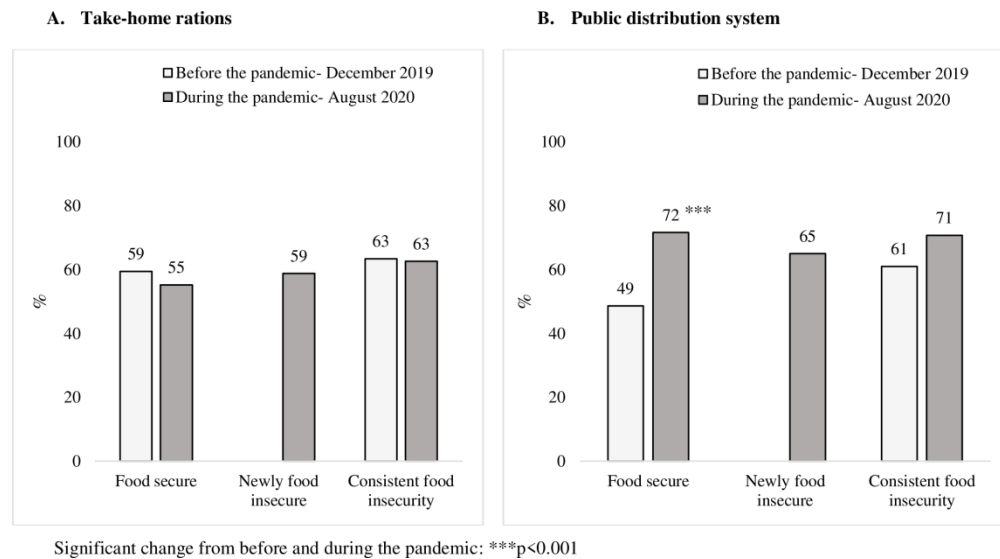


Figure 4: Household receipt of social protection benefits before and during the pandemic, by household food insecurity status

187x102mm (300 x 300 DPI)

STROBE Statement—Checklist of items that should be included in reports of cross-sectional studies

	Item No	Recommendation	Page number from manuscript
Title and abstract	1	(a) Indicate the study’s design with a commonly used term in the title or the abstract	2
		(b) Provide in the abstract an informative and balanced summary of what was done and what was found	2-3
Introduction			
Background/rationale	2	Explain the scientific background and rationale for the investigation being reported	5-6
Objectives	3	State specific objectives, including any prespecified hypotheses	6
Methods			
Study design	4	Present key elements of study design early in the paper	7
Setting	5	Describe the setting, locations, and relevant dates, including periods of recruitment, exposure, follow-up, and data collection	7-9
Participants	6	(a) Give the eligibility criteria, and the sources and methods of selection of participants	7-9
Variables	7	Clearly define all outcomes, exposures, predictors, potential confounders, and effect modifiers. Give diagnostic criteria, if applicable	8-9
Data sources/measurement	8*	For each variable of interest, give sources of data and details of methods of assessment (measurement). Describe comparability of assessment methods if there is more than one group	8-9
Bias	9	Describe any efforts to address potential sources of bias	NA
Study size	10	Explain how the study size was arrived at	7
Quantitative variables	11	Explain how quantitative variables were handled in the analyses. If applicable, describe which groupings were chosen and why	9-10
Statistical methods	12	(a) Describe all statistical methods, including those used to control for confounding	9-10
		(b) Describe any methods used to examine subgroups and interactions	9-10
		(c) Explain how missing data were addressed	NA
		(d) If applicable, describe analytical methods taking account of sampling strategy	NA
		(e) Describe any sensitivity analyses	NA
Results			
Participants	13*	(a) Report numbers of individuals at each stage of study—eg numbers potentially eligible, examined for eligibility, confirmed eligible, included in the study, completing follow-up, and analysed	10
		(b) Give reasons for non-participation at each stage	7
		(c) Consider use of a flow diagram	Figure 1
Descriptive data	14*	(a) Give characteristics of study participants (eg demographic, clinical, social) and information on exposures and potential	10-11

		confounders	
		(b) Indicate number of participants with missing data for each variable of interest	10-11
Outcome data	15*	Report numbers of outcome events or summary measures	12-15
Main results	16	(a) Give unadjusted estimates and, if applicable, confounder-adjusted estimates and their precision (eg, 95% confidence interval). Make clear which confounders were adjusted for and why they were included	12-15
		(b) Report category boundaries when continuous variables were categorized	NA
		(c) If relevant, consider translating estimates of relative risk into absolute risk for a meaningful time period	NA
Other analyses	17	Report other analyses done—eg analyses of subgroups and interactions, and sensitivity analyses	NA
Discussion			
Key results	18	Summarise key results with reference to study objectives	16
Limitations	19	Discuss limitations of the study, taking into account sources of potential bias or imprecision. Discuss both direction and magnitude of any potential bias	19
Interpretation	20	Give a cautious overall interpretation of results considering objectives, limitations, multiplicity of analyses, results from similar studies, and other relevant evidence	16-19
Generalisability	21	Discuss the generalisability (external validity) of the study results	19-20
Other information			
Funding	22	Give the source of funding and the role of the funders for the present study and, if applicable, for the original study on which the present article is based	22

*Give information separately for exposed and unexposed groups.

Note: An Explanation and Elaboration article discusses each checklist item and gives methodological background and published examples of transparent reporting. The STROBE checklist is best used in conjunction with this article (freely available on the Web sites of PLoS Medicine at <http://www.plosmedicine.org/>, Annals of Internal Medicine at <http://www.annals.org/>, and Epidemiology at <http://www.epidem.com/>). Information on the STROBE Initiative is available at www.strobe-statement.org.

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The impact of COVID-19 on household food insecurity and interlinkages with child feeding practices and coping strategies in Uttar Pradesh, India

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Keywords:	COVID-19, Nutrition < TROPICAL MEDICINE, Public health < INFECTIOUS DISEASES

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1 The impact of COVID-19 on household food insecurity and interlinkages with child feeding
2 practices and coping strategies in Uttar Pradesh, India

3 Authors:

4 Phuong Hong Nguyen¹, Shivani Kachwaha², Anjali Pant², Lan Mai Tran³, Sebanti Ghosh⁴, Praveen
5 Kumar Sharma⁴, Vishal Dev Shastri⁴, Jessica Escobar-Alegria⁵, Rasmi Avula², Purnima Menon²

6 Author affiliations:

7 ¹Poverty, Health and Nutrition Division, International Food Policy Research Institute (IFPRI),
8 Washington, DC, USA

9 ²Poverty, Health and Nutrition Division, IFPRI, New Delhi, India

10 ³Alive &Thrive, FHI Solutions, Hanoi, Vietnam

11 ⁴Alive &Thrive, FHI Solutions, New Delhi, India

12 ⁵Alive &Thrive, FHI Solutions, Washington, DC, USA

13 *Corresponding author:

14 Phuong Hong Nguyen, Poverty, Health and Nutrition Division, International Food Policy Research
15 Institute, 2005 I Street, NW, Washington DC, 20005. Phone: 202-826-4088. Email:
16 P.H.Nguyen@cgiar.org

17 **Word count: 3481** (from introduction to conclusion, not including tables)

18 Abbreviations

19 AOR: : Adjusted odds ratio
20 COVID-19 : Coronavirus
21 HFI : Household food insecurity
22 PDS : Public distribution system
23 SES : Socio-economic status
24 THR : Take-home rations

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ABSTRACT

Introduction: The Coronavirus (COVID-19) pandemic has profound negative impacts on people’s lives, but little is known on the effect of COVID-19 on household food insecurity (HFI) in poor setting resources. This study assessed changes in HFI during the pandemic and examined the interlinkages between HFI with child feeding practices and coping strategies in Uttar Pradesh, India.

Methods: We conducted a longitudinal quantitative survey with 569 mothers with children <2y in December 2019 (in-person) and August 2020 (by phone). We measured HFI by using the Household Food Insecurity Access Scale and examined the changes in HFI during the pandemic using the Wilcoxon matched-pairs signed-rank tests. We then assessed child feeding practices and coping strategies by HFI status using multivariable regression models, adjusting for child, maternal, and household characteristics.

Results: HFI increased sharply from 21% in December 2019 to 80% in August 2020, with 62% households changing the status from food secure to insecure over this period. Children in newly or consistently food-insecure households were less likely to consume a diverse diet (adjusted odds ratio, AOR: 0.57, 95% CI: 0.34, 0.95 and AOR: 0.51, 95% CI: 0.23, 1.12, respectively) compared to those in food-secure households. Households with consistent food insecurity were more likely to engage in coping strategies such as reducing other essential non-food expenditures (AOR: 2.2, 95% CI: 1.09, 4.24), borrowing money to buy food (AOR: 4.3, 95% CI: 2.31, 7.95), or selling jewelry (AOR: 5.0, 95% CI: 1.74, 14.27) to obtain foods. Similar findings were observed for newly food-insecure households.

Conclusions: COVID-19 posed a significant risk to HFI which in turn had implications for child feeding practices and coping strategies. Our findings highlight the need for further investment in

48 targeted social protection strategies and safety nets as part of multisectoral solutions to improve HFI
49 during and after COVID-19.

50 **Keywords:** COVID-19, child feeding practices, coping strategies, household food insecurity, India,
51 pandemic

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Strengths and limitations of this study

- Using longitudinal surveys with a cohort of mothers with children <2 years, our study provides unique evidence of changes in household food insecurity 6 months after the onset of COVID-19 in the context of a low-middle-income country.
- Our study bridges the gap in literature on the interlinkages between household food insecurity with child feeding practices and coping strategies to obtain food to deal with household economic hardships during the pandemic.
- Our study demonstrates the feasibility of gathering information on household food insecurity via digital data collection methods but indicates some challenges including low response rate and inability to reach some of the poorest or most vulnerable households through phone surveys.
- Since all mothers in our study had children <6 months in December 2019, we were unable to obtain information on complementary feeding to compare child feeding practices before and during COVID pandemic.

53 INTRODUCTION

54 The Coronavirus disease (COVID-19) has profound and wide-ranging public health impacts
55 and poses a significant global threat to development. Beyond the direct impacts from the virus, the
56 pandemic will likely have a range of indirect consequences on food insecurity, child malnutrition,
57 morbidity and mortality through disruptions in health and nutrition services, food supply chains, and
58 livelihoods.¹⁻⁴ Early estimates suggest that potential disruptions of health systems and decreased
59 access to food could lead to 1,157,000 additional child deaths and 56,700 additional maternal
60 deaths.⁵ Further, disruptions caused by the pandemic may affect households in multiple other ways
61 including employment and income loss, mobility constraints, and household stress. Experts have
62 warned about the potential consequences of COVID-19, ruining decades of progress, making it
63 unlikely for low and middle income countries to reach the sustainable development goal to “end
64 hunger, achieve food security and improved nutrition and promote sustainable agriculture” by 2030.⁶

65 There have been growing concerns on the impact of COVID-19 on household food insecurity
66 (HFI).^{7 8} Disruptions caused by the pandemic have the potential to influence all “four pillars” of food
67 security including availability, access, utilization, and stability.⁹ The pandemic may influence HFI
68 directly on the supply side by disrupting food systems (such as primary food production, stability of
69 food production, processing, food reserve stockpiles, and marketing) as well as indirectly on the
70 demand side due to impact of lockdowns on households’ incomes, physical access to food, and
71 economic access to food.^{10 11}

72 The impact of COVID-19 on HFI and poor health outcomes is complex, multilevel, and
73 bidirectional.⁴ At the household and individual levels, food insecurity is hypothesized to be a risk
74 factor for both short- and long-term health outcomes through key three pathways: household stress
75 (due to worrying about health issues, job loss and strained finances, and disconnection from social

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3 76 support systems), behavioral coping mechanisms (engaging in high-risk behavior, compromising
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5 77 health care activities for foods, poor mental health and inadequate child feeding and nurturing), and
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8 78 inflammatory pathways.⁴ Expected negative consequences on food, nutrition, and health security of
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10 79 vulnerable groups including young children, pregnant, and lactating women may further exacerbate
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12 80 existing social and health inequities.¹²
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15 81 Despite established frameworks and global understanding of the threat to HFI during the
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17 82 pandemic, empirical investigations are very limited to date. Available information on HFI was
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19 83 mainly collected during the pandemic ¹³⁻¹⁶ and very few studies have examined the dynamic changes
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21 84 of HFI over the COVID pandemic's evolution in low-and middle-income countries (LMICs) ^{17 18},
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23 85 particularly in the South Asian or Indian context. India is facing a double crisis- COVID-19 and food
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25 86 insecurity,¹⁹ carrying the second highest burden of COVID-19 in the world with nearly 8 million
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27 87 total confirmed cases and 119,502 deaths as of 28th October 2020.²⁰ Yet only few studies are
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29 88 available on food security using data at the farmer and supply-side level,^{21 22} and negligible evidence
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31 89 on the demand side. Very little is known about how women and children within households may be
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33 90 affected by HFI. Further, there is lack of empirical evidence on the changes in HFI during the
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35 91 pandemic. Addressing this knowledge gap is critical for action, specifically at this decisive time in
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37 92 India when the COVID-19 trajectory is still uncertain, and there is concern about potential spikes in
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39 93 the coming months. Our study seeks to address this gap in the current literature with the objectives to
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41 94 1) assess the changes in HFI before and during the pandemic in Uttar Pradesh, India; and 2) examine
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43 95 the interlinkages between HFI with child feeding practices and coping strategies to deal with
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45 96 household economic hardships and obtain foods.
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97 METHODS

98 Design

99 This study is a follow-up of a cluster-randomized trial (2017-2019) which aimed to assess the
100 impact of strengthening delivery of maternal nutrition interventions, including micronutrient
101 supplements and intensifying interpersonal counseling and community mobilization, implemented
102 through government ANC services in Uttar Pradesh, India.²³ Details of the parent study have been
103 described elsewhere.²⁴ Briefly, we conducted in-person repeated cross-sectional surveys of 1,800
104 recently delivered women as part of the cluster-randomized trial.²³ The end-line data collection was
105 conducted in December 2019, prior to the onset of COVID-19 pandemic, providing an opportunity
106 for a pre-and-post assessment of the effect of COVID-19 on food insecurity in this context.

107 Data sources

108 The household survey was conducted with mothers of children <2 years old following the
109 same study design and sampling frame as in the cluster-randomized trial. Of the 1,849 mothers
110 surveyed at endline from the parent study in December 2019, 587 could be reached for a phone
111 interview in August 2020, yielding a response rate of 32% (**Figure 1**). Reasons for not being able to
112 conduct phone survey included unavailable phone number (n=388), phone unreachable or switched
113 off (n=667), wrong number (n=136), refusal to participate (n=63) and child death (n=9). Reasons for
114 losses to follow-up in the phone survey were similar between intervention and comparison areas
115 (results not shown). The total sample of non-pregnant mothers (n=569) interviewed in both surveys
116 were used for the analysis.

117 <Insert Figure 1 here>

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Variables

Household food security was measured before (in-person) and during the pandemic (by phone) using the standard FANTA/USAID’s Household Food Insecurity Access Scale.²⁵ A recent study in Mexico examined the internal validity of food insecurity scales administered through in-person vs. phone surveys and found phone surveys were a feasible strategy to measure food security during COVID-19.¹⁸ Mothers were asked nine questions related to the household’s experience of food insecurity in the 30 days preceding the survey. These questions capture 3 main domains of household food insecurity: anxiety and uncertainty about the household food supply (1 item), insufficient quality (3 items), and insufficient quantity and its physical consequences (5 items). We reported the percentage of households that experienced 1) any food insecurity occurrence among nine questions, 2) any of a specific domain, and 3) food insecurity condition categorized as food-secure and mild, moderately, or severely food-insecure.

Information on child feeding practices were assessed using the standard WHO indicators ²⁶, on the basis of the maternal recall of all foods and liquids consumed by the child in different time periods of the previous 24 hours before the survey. All food items were categorized into the 7 food groups used in the WHO guideline:²⁶ 1) starchy staple foods, 2) legumes and nuts, 3) dairy products (milk, yogurt, and cheese), 4) flesh foods, 5) eggs, 6) vitamin A rich fruits and vegetables, and 7) other fruits and vegetables. Minimum dietary diversity was defined as children who consumed foods from 4 or more out of 7 food groups in the previous 24 hours. Data for complementary feeding practices were not available during the in-person survey in December 2019, because all mothers had children <6 months during that time.

Households were also asked about access to social protection, especially food supplementation they received for mothers and children from the government during the lockdown

period and during the 30 days prior to the survey, such as take-home rations (THR) and use of public distribution system (PDS). Finally, information on different coping strategies that the household had to engage in the past 30 days due to lack of food was collected, including spending savings, reducing essential non-food expenditure, borrowing money, or selling jewelry/gold.

Other potential factors associated with food security or child feeding practices were obtained for mothers (age, education level, and occupation), child (age and sex), and households (religion, scheduled caste/tribal - designated historically disadvantaged groups in India, number of children <5y, and household socio-economic status- SES). The SES index (collected in person survey) was constructed using a principal component analysis from multiple variables including household ownership of assets, livestock, and housing quality.²⁷

Data analysis

We compared background characteristics of the analytic sample (mothers who completed both surveys, in-person survey before COVID and phone survey during COVID) and the non-analytic sample (those who completed in-person surveys only) using student t-test (for continuous variables) and chi-square test (for categorical variables). We used descriptive analysis to report HFI before and during the pandemic and child feeding practices. We examined changes in HFI before and during the pandemic using Wilcoxon matched-pairs signed-rank tests.

To examine differences in child feeding practices and coping strategies by food insecurity status, we created three categories of households: 1) food secure (households that were food secure before and during COVID-19 pandemic), 2) consistently food insecure (households that were food insecure before and during COVID-19); 3) newly food insecure (households that were food secure before COVID-19 but became food insecure during the pandemic). We then compared child feeding practices and coping strategies among the three categories using multivariable regression models,

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3 165 adjusting for child age and sex, breastfeeding status, mother’s age, religion, education, scheduled
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5 166 caste, number of children <5y in the household and household SES. We also examined uptake of
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8 167 social protection programs such as food supplementation and cash transfer as potential strategies to
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10 168 improve HFI. All statistical analyses were undertaken using Stata version 16. Statistical significance
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12 169 was defined as p-value < 0.05.

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15 170 **Ethical approval**

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17 171 Informed consent in the local language was obtained from mothers, frontline workers, and block
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19 172 managers prior to their participation in the study. The research protocol received ethical clearance
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21 173 from the Institutional Review Board at the International Food Policy Research Institute (IRB
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23 174 #00007490) and the Suraksha Independent Ethics Committee in India (IRB #2017-10-9094).
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25 175 Additional permissions for data collection were provided by the State Government of Uttar Pradesh.
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28 176 **Patient and public involvement statement**

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30 177 Patients or the public were not involved in the design, or conduct, or reporting, or dissemination
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32 178 plans of our research.
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38 180 **RESULTS**

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40 181 **Characteristics of the study sample**

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42 182 At the time of in-person survey in December 2019, all mothers had an infant between the
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44 183 ages of 0–5.9 months of age with an average age of 3 months (Table 1). On average, mothers were
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46 184 ~26 years and the majority of them (>90%) were housewives. Nearly all women were Hindu (92%)
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48 185 and nearly half of them belonged to a backward community (44-47%). Mothers in the final analytic
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50 186 sample had higher education (8.2 vs. 6.7 years of schooling, p<0.001) and lived in wealthier (27 vs.
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52 187 17% in quintile 5, p<0.001) and more food secure households (79 vs. 75%, p=0.08) compared to
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those in the non-analytic sample. Mothers belonging to intervention and control areas of the maternal nutrition intervention (from 2017 to 2019) were equally represented in the analytic sample. In the follow-up phone survey in August 2020- children were on average 11.6 months old (ranging between 8 and 14 months).

Table 1: Background characteristics¹ of the study sample that participated in surveys before and during the COVID pandemic (December 2019 and August 2020)

	Analytic sample (both in person and phone surveys before and during the pandemic) (n= 569)	Non-analytic sample (only in person survey before the pandemic) (n= 1,280)	p
Age of respondent mother (years)	25.5 (3.8)	25.7 (4.0)	0.47
Education (years)	8.2 (4.3)	6.7 (4.6)	<0.001
Never attended school	14.1	24.8	<0.001
Primary school (grade 1-5)	13.9	16.3	
Middle school (grade 6-9)	24.3	24.7	
High school (grade 10-12)	30.1	23.3	
Graduate and above	17.8	10.9	
Occupation as housewife	91.7	93.0	0.35
Child age, months	3.0 (1.6)	2.8 (1.6)	0.041
Child sex (male)	49.0	49.5	0.84
Number of children <5y	1.6 (0.7)	1.7 (0.7)	0.60
Religion as Hindu	93.7	91.1	0.061
Caste category			
Scheduled caste/tribe	38.3	38.4	0.25
Other Backward Class	44.3	47.0	
General/others	17.4	14.5	
Household socio-economic status			
Quintile 1	11.6	23.8	<0.001
Quintile 2	19.2	20.4	
Quintile 3	18.1	20.9	
Quintile 4	24.6	18.0	
Quintile 5	26.5	17.0	
Household food security status			
Food secure	79.3	74.5	0.08
Mildly food insecure	5.6	5.9	
Moderate food insecure	5.1	5.3	

Severe food insecure	10.0	14.3
Maternal nutrition (2017-2019)		
Intervention area	282	640
Comparison area	287	640

¹Background data presented in this table were from in -person survey in December 2019.

Changes in food security status during the COVID pandemic

Prior to the pandemic, 21% of households were identified as food insecure. Six months into the pandemic, the prevalence of any food insecurity increased from 21 to 80%, of which mildly, moderately, and severely food insecure households increased by 14 percentage points (pp), 25 pp and 20 pp, respectively (Figure 2A). Overall, 62% households changed from being food secure to food insecure during the pandemic. HFI experiences sharply increased for each domain. For example, the prevalence of anxiety and uncertainty about the household food supply, insufficient quality of food, and insufficient quantity of food consumed during the pandemic were 45, 78, and 42%, respectively, which was much higher than before the pandemic (12, 18, and 14%, respectively) (Figure 2B).

<Insert Figure 2 here>

Child feeding practices during the COVID pandemic

Child feeding practices are of major concern, with only 19% of children achieving minimum dietary diversity (≥ 4 food groups). An extremely low proportion of children were fed flesh foods (1%), eggs (1%) and vitamin-A rich fruits and vegetables (4%). One-third of the children consumed other fruits and vegetables and nearly two-thirds consumed legumes and nuts in the 24 hours prior to the survey (Figure 3).

<Insert Figure 3 here>

Association between food insecurity status and child feeding practices during the COVID pandemic

Children living in households that became food-insecure since the pandemic were less likely to consume a diversified diet (18 vs. 28%; adjusted odds ratio, AOR: 0.57, 95% CI: 0.34, 0.95) as well as legumes and nuts (57 vs. 69%; AOR: 0.61, 95% CI: 0.38, 0.97) compared to children living in consistently food secure households (Table 2). Child feeding practices were worse in the households that were food insecure at both times. Specifically, fewer children in consistently food-insecure households consumed a diverse diet (12.4 vs. 28%; AOR: 0.51, 95% CI: 0.23, 1.12) and other fruits and vegetables (21 vs. 40%; AOR: 0.50, 95% CI: 0.26, 0.97) compared to those in food secure households.

224 **Table 2: Association between child dietary diversity and household food insecurity status during the pandemic**

	Currently food secure n=116	Newly food insecure ¹ n=354	Consistent food insecurity n=99	New food insecurity vs. food secure ²				Consistent food insecurity vs. food secure ²			
	%	%	%	Crude OR (95%CI)	P	Adjusted OR (95%CI)	P	Crude OR (95%CI)	P	Adjusted OR (95%CI)	P
Grain	79.3	80.8	78.8	1.1 (0.65,1.85)	0.73	0.98 (0.57, 1.69)	0.95	0.97 (0.50,1.87)	0.93	0.87 (0.43, 1.77)	0.64
Legumes and nuts	69.0	56.8	55.6	0.59 (0.38,0.93)	0.02	0.61 (0.38, 0.97)	0.04	0.56 (0.32,0.98)	0.04	0.69 (0.38, 1.25)	0.22
Dairy	74.1	76.3	79.8	1.12 (0.69,1.82)	0.64	1.22 (0.74, 2.01)	0.43	1.38 (0.72,2.62)	0.33	1.72 (0.87, 3.41)	0.12
Flesh foods	0.9	0.6	2.0	0.66 (0.06,7.36)	0.74	0.63 (0.05, 7.47)	0.72	2.37 (0.21,26.55)	0.48	1.46 (0.09, 23.2)	0.79
Eggs	0.9	1.1	1.0	1.33 (0.15,12.02)	0.80	1.10 (0.11, 10.5)	0.94	1.17 (0.07,19.01)	0.91	0.87 (0.04, 17.0)	0.93
Vit A rich fruits and vegetables	4.3	4.3	2.0	0.99 (0.35,2.79)	0.99	0.77 (0.26, 2.26)	0.64	0.46 (0.09,2.41)	0.36	0.31 (0.05, 1.79)	0.19
Other fruits and vegetables	39.7	33.5	21.2	0.77 (0.50,1.18)	0.17	0.73 (0.46, 1.16)	0.18	0.41 (0.22,0.75)	0.004	0.50 (0.26, 0.97)	0.042
Minimum dietary diversity (≥ 4 food groups)	28.1	17.9	12.4	0.56 (0.34,0.91)	0.02	0.57 (0.34, 0.95)	0.03	0.36 (0.17,0.75)	0.006	0.51 (0.23, 1.12)	0.09

225 ¹Currently food secure was defined as households who were food secure before and during COVID-19 pandemic and those who were food insecure at some point
226 before but were no longer food insecure during the pandemic, consistent food insecurity was defined as both food insecure before and during COVID-19; newly
227 food insecurity insecure was defined as food secure before COVID-19 but became food insecure during the pandemic. ²Model controlled for child age, sex,
228 breastfeeding status, mother’s age, education, caste, religion, number of children<5y, and household SES.

Challenges faced during the pandemic

The key challenges faced by households in consuming food in the last 7 days preceding the survey included non-availability of funds to buy food (59%), non-availability of foods in market area (21%), increase in food prices (17%), and inability to travel or transport issues (21%). The pandemic-related challenges had resulted in unemployment/loss of income in 78.4% households (Figure 4).

<Insert Figure 4 here>

Coping strategies and household food insecurity status during the COVID pandemic

More than 60% of households disbursed their savings and reduced their expenses on health and non-food essentials to meet food and other requirements, irrespective of their food security status (Table 3). Households experiencing food insecurity were more likely to engage in coping strategies related to obtaining food including reducing their expenditure on non-food essentials (AOR: 1.7, 95% CI: 1.08, 2.78 and AOR: 2.2, 95% CI: 1.09, 4.24 for newly and consistently food-insecure households, respectively), borrowing money to buy food (AOR: 3.6, 95% CI: 2.19, 5.80 and AOR: 4.3, 95% CI: 2.31, 7.95, respectively), and selling jewelry (AOR: 3.0, 95% CI: 1.16, 7.92 and AOR: 5.0, 95% CI: 1.74, 14.27, respectively). Additionally, newly food-insecure households were ~2 times more likely to spend saving or sell households/assets/transport means.

246 **Table 3: Association between current coping strategies and household food insecurity status during the pandemic**

	Currently food secure	Newly food insecure ¹	Consistent food insecurity	New food insecurity vs. food secure ²				Consistent food insecurity vs. food secure ²			
	n=116	n=354	n=99	Crude OR (95%CI)	p	Adjusted OR (95%CI)	p	Crude OR (95%CI)	p	Adjusted OR (95%CI)	p
Spent savings	83.6	91.0	89.9	1.97 (1.07,3.63)	0.03	2.05 (1.09, 3.88)	0.027	1.74 (0.77,3.95)	0.18	1.73 (0.71, 4.18)	0.23
Reduced health expenditure	64.7	72.0	74.7	1.41 (0.90,2.20)	0.13	1.33 (0.84, 2.10)	0.23	1.62 (0.90,2.92)	0.11	1.49 (0.79, 2.80)	0.22
Reduced other essential non- food expenditures such as education and clothes	66.4	77.4	81.8	1.73 (1.10,2.74)	0.02	1.73 (1.08, 2.78)	0.024	2.28 (1.20,4.32)	0.01	2.15 (1.09, 4.24)	0.027
Borrowed money to buy food	25.0	54.8	63.6	3.64 (2.27,5.82)	<0.001	3.57 (2.19, 5.80)	<0.001	5.25 (2.92,9.44)	<0.001	4.29 (2.31, 7.95)	<0.001
Reduced expenses on agricultural, livestock or fisheries inputs	23.3	33.3	35.4	1.65 (1.02,2.67)	0.04	1.64 (0.99, 2.72)	0.055	1.80 (0.99,3.27)	0.05	1.78 (0.94, 3.38)	0.078
Selling jewelry/gold	4.3	13.0	21.2	3.32 (1.28,8.56)	0.01	3.03 (1.16, 7.92)	0.024	5.98 (2.16,16.53)	0.001	4.98 (1.74, 14.27)	0.003
Selling household goods or productive assets or means of transport	19.0	29.4	27.3	1.78 (1.06,2.98)	0.03	1.78 (1.03, 3.07)	0.038	1.6 (0.84,3.04)	0.15	1.64 (0.83, 3.26)	0.16

247 ¹Currently food secure was defined as households who were food secure before and during COVID-19 pandemic and those who were food insecure at some point
248 before but were no longer food insecure during the pandemic, consistent food insecurity was defined as both food insecure before and during COVID-19; newly
249 food insecure was defined as food secure before COVID-19 but became food insecure during the pandemic. ²Model controlled for mother’s age, education, caste,
250 religion, number of children<5y, and household SES.

Social protection before and during the COVID pandemic

The proportion of households where children received take-home rations (THR) from the ICDS program was similar before and during the pandemic and was slightly higher in food-insecure (~63%) compared to food-secure households (55-59%) (Figure 5). Coverage of PDS rations increased significantly during the pandemic for both food-insecure (61 to 71%) and food-secure households (from 49 to 72%); the increase was smaller among beneficiaries from consistently food-insecure compared to those in food-secure households (9.3 pp vs. 23 pp).

<Insert Figure 5 here>

DISCUSSION

In response to global concerns on the impact of COVID-19 on maternal and child food and nutrition insecurity, our study provides unique evidence of changes in HFI before and during the pandemic and its linkages with child feeding practices as well as coping strategies to obtain foods among food secure and insecure households. We found that HFI increased substantially during the pandemic (60 pp), with a large portion related to insufficient quality (78%) and lower levels related to insufficient quantity (42%). Children living in food-insecure households were less likely to consume a diversified diet, mainly due to less consumption of legumes and nuts, fruits, and vegetables. In order to overcome the challenges during the pandemic, households were compelled to engage in several coping strategies related to spending existing savings, reducing household expenditures, selling assets, or borrowing money.

Our findings were consistent with the global literature on the increase in HFI during the pandemic.²⁸⁻³² However, most previous studies mainly obtained information during the pandemic and did not have data prior to the onset of the pandemic. A rapid assessment conducted in LMICs including Kenya, Nigeria, Mozambique, and Rwanda showed that 79-87% of

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respondents were worried about lack of sufficient food during COVID-19.¹³ Similarly, nearly 90% of households in rural and urban Bangladesh experienced different levels of food insecurity and engaged in financial or food compromised coping strategies.¹⁵ The prevalence of moderate to severe HFI during the COVID-19 lockdown was lower in Peru, affecting 23% of households, with predictors being low income pre-pandemic, income reduction, or running out of savings during the pandemic.¹⁴ Among the few studies with information before and during COVID-19 time, two were from the US, one found 32% increase in HFI since COVID-19¹⁶ while the other found an increase of 20%.²⁸ Only two other studies provided estimates of HFI before and during COVID where one found an increase of 14 pp (from 61.1 to 75.1%) in any HFI in Mexico¹⁸ and the other observed an increase of 43.4 pp (from 8.3 to 51.7%) in moderate and severe HFI in Bangladesh.¹⁷ Our study showed much higher magnitude of increase in HFI (~60 pp) compared to other studies, which is a worrisome finding given the high pre-existing levels of food insecurity in India. We also found that HFI was predominantly due to insufficient food quality concerns which was aligned with a previous study which showed increased consumption of high-calorie snack foods and sweets,^{28 30} or cheaper highly processed foods.⁴

Our findings indicate challenges to several food security dimensions, including livelihood and income loss, economic and physical access, availability, and utilization. A study on livelihood and dietary effects of COVID-19 with vegetable producers in four states of India reported negative impacts on production, sales, prices, and incomes among majority of farmers,²¹ Farm households also reported disruptions to their diets with reduced ability to access nutrient-dense foods, particularly fruit and animal source foods.²¹ Another study in Maharashtra, India found disruptions in the urban-rural food supply chain due to the closure of wholesale markets with uncertainties in food supply, declines in market availability, and increase in food prices.²²

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3 These findings are complementary to our study and the supply-side insights possibly explain
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5 some of the trends we observe in food security, child feeding, and coping strategies.
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8 To our knowledge, infant and child feeding practices during the pandemic have not been
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10 explored in the literature. Our findings showed that the diets of children were suboptimal, with
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12 only 19% achieving minimum dietary diversity – a similar result compared to a previous study in
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14 Uttar Pradesh, India before COVID pandemic (17%).³³ We also found that children living in
15
16 food-insecure households had much poorer diets than those in food-secure household, but the
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18 proportion of children consuming flesh foods, eggs, and vitamin A fruits and vegetables is very
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20 low, irrespective of food security status. During the COVID-19 pandemic, child feeding
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22 practices have been reported to change, particularly among food-insecure households, due to
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24 higher levels of stress, fewer resources, and less access to food and affordability, leading to
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26 restrict the quantity and quality of food their children eat and more parents' controlling feeding
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28 behaviors.²⁸ Other studies also showed that mothers of the children in food-insecure households
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30 often prioritized shelf-stable foods to deal with food supply disruptions and social-distancing
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32 policies, and have a tendency to rely on energy-dense foods for a longer period of time.⁴
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38 We found that all households in our study engaged in some coping strategies to obtain
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40 food regardless of HFI status, but food-insecure households were more likely to engage in
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42 several such practices. Our findings are consistent with literature stating that the main strategies
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44 food-insecure households generally rely on to maintain access to food include shifting within
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46 their own spending patterns to prioritize food (reducing expenses on health, other non-food
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48 expenditures, or agricultural, livestock or fisheries inputs), relying on social network, or access
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50 to government nutrition programs.^{4 16} However, all these strategies can easily be impacted when
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52 COVID pandemic severely affects the entire household budget, or social-distancing policies
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could affect network access. The coping strategies households have adopted to obtain food during COVID-19 will run out and will not suffice for preventing HFI from getting worse if the pandemic crisis continues.

Social protection strategies are an important intervention to address the rising levels of HFI in the context of COVID-19, particularly for low-income countries.³⁴ A global review of evidence by the World Bank found that India increased coverage of cash transfers from ~2% before the pandemic to about 15% during COVID-19.³⁵ The Indian government also initiated home-delivery of take-home rations for pregnant and lactating women and children and provided one-month free supply of wheat and rice to the poorest ration card holders through the public distribution system.³⁶ Our findings on the increased access to PDS during COVID-19 align with previous conclusions about the important role of the program as an essential component of the Government’s response to food insecurity.³⁷ Despite these measures, food supplementation was received among just over half of households and the increase in access to PDS was smaller among beneficiaries that are consistently food insecure compared to the food secure. These results highlight an important opportunity to strengthen the Government’s response to reduce food insecurity during and after COVID-19 in the short term by improving efficiency of existing social protection strategies and targeting to the most vulnerable populations.^{37 38} A recent costing study conducted in Mexico found it would cost less than 0.06% of the Gross Domestic Product to effectively safeguard families with young children through a cash transfer and basic services subsidy.³⁹ Other strategies which may be considered include outlining specific recommendations to ensure food security for poor and vulnerable populations as done for other developing countries in Africa⁴⁰ and include special initiatives for migrant populations.⁴¹ Certain agricultural reforms may also be considered⁴² such as home gardening,⁴³ diversification of production, and

strong local market chains⁴⁴ to alleviate HFI, improve diets, and reduce reliance on coping strategies due to food insecurity.

Our study followed the cohort of mothers before the pandemic and 6 months after the onset of COVID-19, thus offering a unique and timely contribution to the literature on the magnitude and nature of increase in HFI before and during the pandemic, and its implications for child feeding practices and coping strategies in the context of LMICs with prevailing high HFI. Given the restrictions on movement and contacting people, we were able to mobilize the phone survey to reach mothers and use the same instrument to measure food security over time. Our experience demonstrated the feasibility of gathering information on HFI via digital data collection methods but indicated potential challenges and bias in the background characteristics of respondents interviewed through in-person vs. phone surveys. Mothers who responded to phone survey had slightly higher education and SES background compared to those in the non-analytic sample (only in-person survey), indicating that we may not be able to reach some of the poorest or most vulnerable households through phone surveys. We also experienced similar challenges as other phone surveys⁴⁵ including low response rate, several calling schedules during the survey, and potential unknown response bias. Finally, since all mothers in our study had children <6 months in December 2019, we were unable to obtain information on complementary feeding to compare child feeding practices before and during COVID time.

CONCLUSION

COVID-19 had a significant negative impact on HFI in this context, which in turn had implications for child feeding practices and reliance on coping strategies to obtain foods. Our study highlighted the opportunity to reduce HFI in the short-term with existing resources by

improving the targeting of social protection benefits to effectively reach the food insecure and make quality diets accessible. Given the great concerns about the expected increase in HFI as the pandemic continues, strengthened multisectoral responses are needed to ensure effective re-establishment of health and nutrition services, food supply chains, and restoration of livelihoods to improve household food security during and after the pandemic.

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FIGURE LEGENDS

Figure 1. Participant flow

Figure 2. Food insecurity experienced by mothers and their household members in the previous 30 days before and during the COVID pandemic

Figure 3: Child feeding practices during the COVID pandemic

Figure 4: The key challenges faced by households during the COVID pandemic

Figure 5: Household receipt of social protection benefits before and during the pandemic, by household food insecurity status

AUTHOR CONTRIBUTIONS

PHN: conceived paper, analysis, drafted manuscript, consolidated comments from all co-authors, revised and finalized paper.

SK: Field work coordination, literature review, drafted some parts of the manuscript, revised, and finalized paper.

AP: Field work coordination, data analyses, drafted some parts of the manuscript, reviewed manuscript.

LMT: data analyses, visualization for data presentation, reviewed the manuscript.

SG, PKS, VDS, JE: data interpretation and its implications, reviewed and edited the manuscript.

RA and PM reviewed the statistical analyses, supported data interpretation, reviewed, and edited the manuscript.

All authors read and approved the final submitted manuscript.

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CONFLICT OF INTEREST STATEMENT

Authors declare no conflicts of interest.

DATA AVAILABILITY STATEMENT

All data relevant to the study are included in the article or uploaded as supplementary information.

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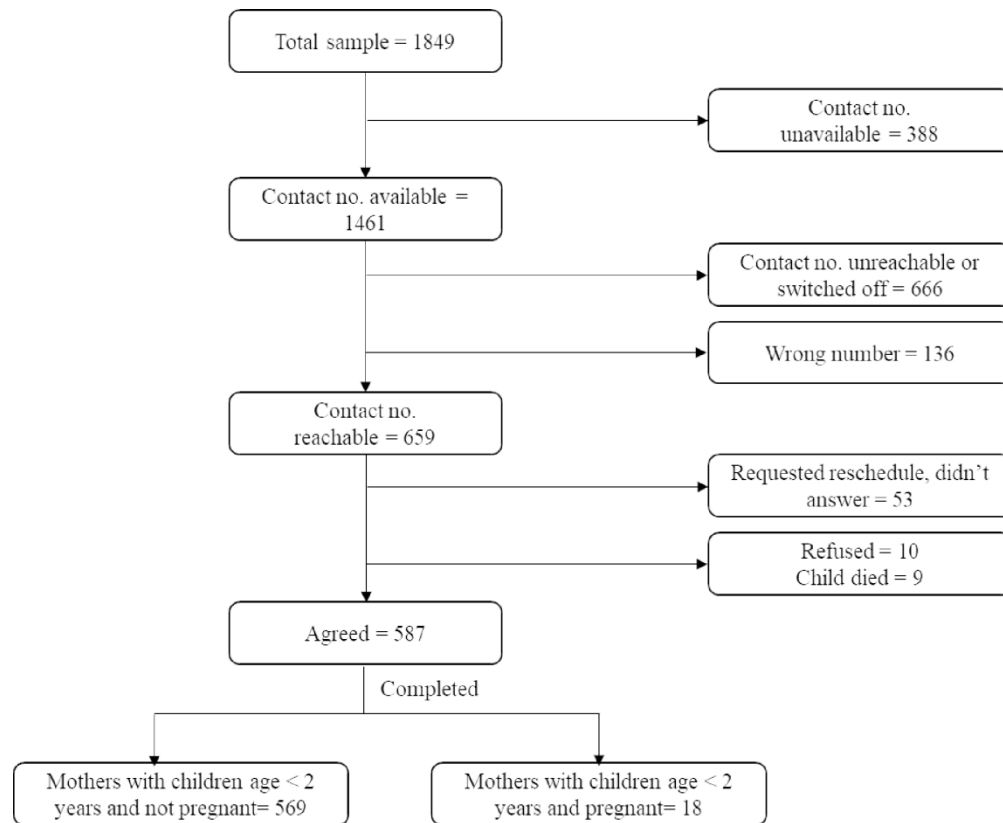


Figure 1. Participant flow

172x140mm (300 x 300 DPI)

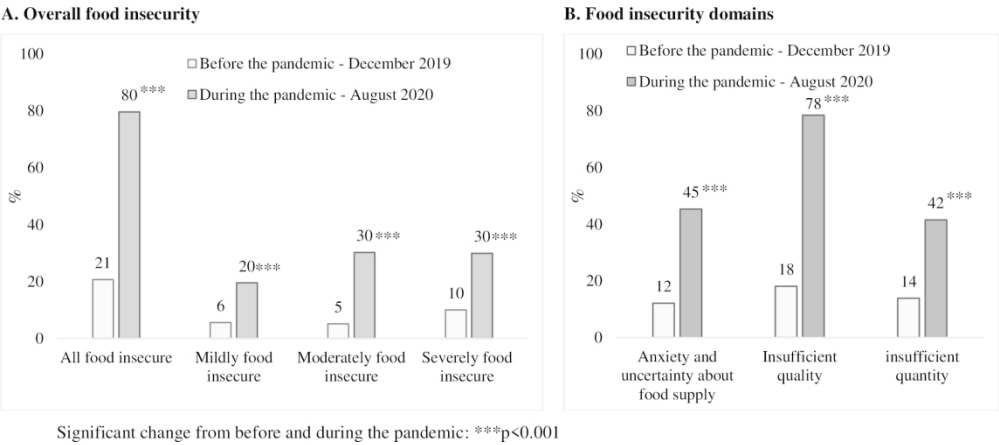


Figure 2. Food insecurity experienced by mothers and their household members in the previous 30 days before and during the COVID pandemic

190x82mm (300 x 300 DPI)

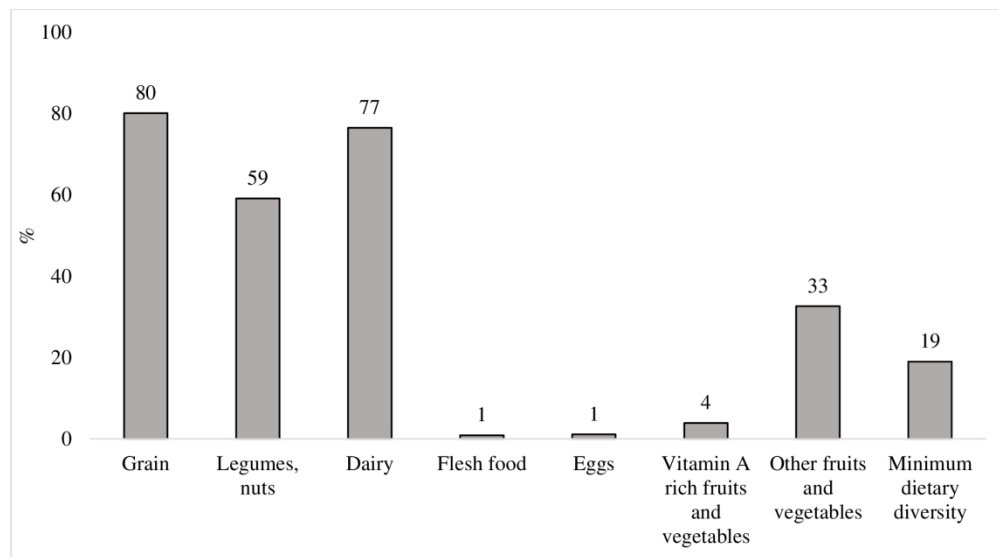


Figure 3: Child feeding practices during the COVID pandemic

168x92mm (300 x 300 DPI)

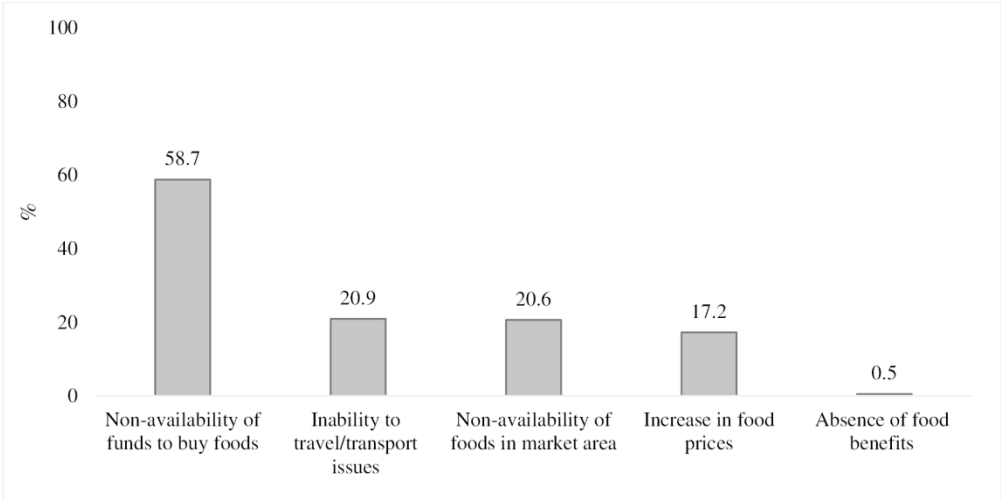


Figure 4: The key challenges faced by households during the COVID pandemic
156x77mm (300 x 300 DPI)

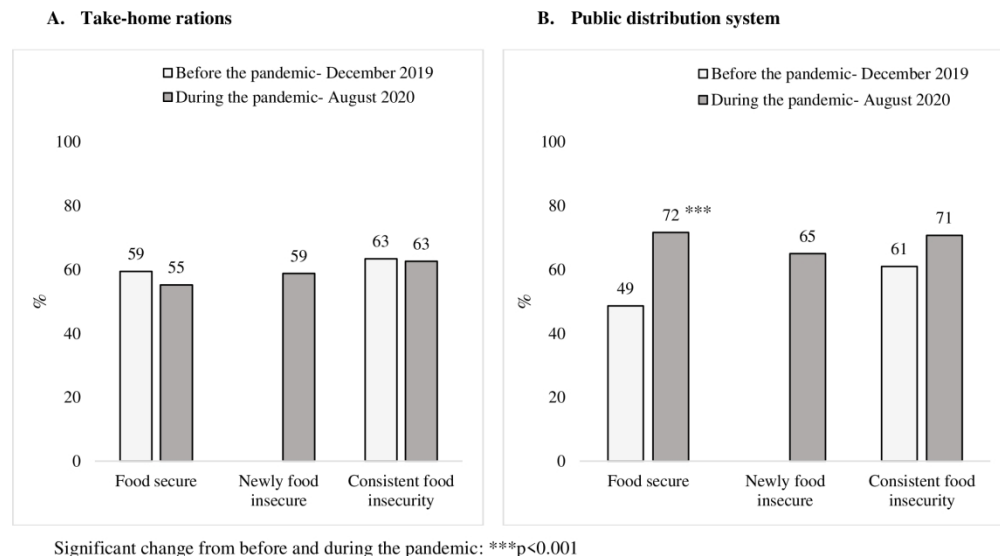


Figure 5: Household receipt of social protection benefits before and during the pandemic, by household food insecurity status

187x102mm (300 x 300 DPI)

STROBE Statement—Checklist of items that should be included in reports of cross-sectional studies

	Item No	Recommendation	Page number from manuscript
Title and abstract	1	(a) Indicate the study’s design with a commonly used term in the title or the abstract	2
		(b) Provide in the abstract an informative and balanced summary of what was done and what was found	2-3
Introduction			
Background/rationale	2	Explain the scientific background and rationale for the investigation being reported	5-6
Objectives	3	State specific objectives, including any prespecified hypotheses	6
Methods			
Study design	4	Present key elements of study design early in the paper	7
Setting	5	Describe the setting, locations, and relevant dates, including periods of recruitment, exposure, follow-up, and data collection	7-9
Participants	6	(a) Give the eligibility criteria, and the sources and methods of selection of participants	7-9
Variables	7	Clearly define all outcomes, exposures, predictors, potential confounders, and effect modifiers. Give diagnostic criteria, if applicable	8-9
Data sources/measurement	8*	For each variable of interest, give sources of data and details of methods of assessment (measurement). Describe comparability of assessment methods if there is more than one group	8-9
Bias	9	Describe any efforts to address potential sources of bias	NA
Study size	10	Explain how the study size was arrived at	7
Quantitative variables	11	Explain how quantitative variables were handled in the analyses. If applicable, describe which groupings were chosen and why	9-10
Statistical methods	12	(a) Describe all statistical methods, including those used to control for confounding	9-10
		(b) Describe any methods used to examine subgroups and interactions	9-10
		(c) Explain how missing data were addressed	NA
		(d) If applicable, describe analytical methods taking account of sampling strategy	NA
		(e) Describe any sensitivity analyses	NA
Results			
Participants	13*	(a) Report numbers of individuals at each stage of study—eg numbers potentially eligible, examined for eligibility, confirmed eligible, included in the study, completing follow-up, and analysed	10
		(b) Give reasons for non-participation at each stage	7
		(c) Consider use of a flow diagram	Figure 1
Descriptive data	14*	(a) Give characteristics of study participants (eg demographic, clinical, social) and information on exposures and potential	10-11

		confounders	
		(b) Indicate number of participants with missing data for each variable of interest	10-11
Outcome data	15*	Report numbers of outcome events or summary measures	12-15
Main results	16	(a) Give unadjusted estimates and, if applicable, confounder-adjusted estimates and their precision (eg, 95% confidence interval). Make clear which confounders were adjusted for and why they were included	12-15
		(b) Report category boundaries when continuous variables were categorized	NA
		(c) If relevant, consider translating estimates of relative risk into absolute risk for a meaningful time period	NA
Other analyses	17	Report other analyses done—eg analyses of subgroups and interactions, and sensitivity analyses	NA
Discussion			
Key results	18	Summarise key results with reference to study objectives	16
Limitations	19	Discuss limitations of the study, taking into account sources of potential bias or imprecision. Discuss both direction and magnitude of any potential bias	19
Interpretation	20	Give a cautious overall interpretation of results considering objectives, limitations, multiplicity of analyses, results from similar studies, and other relevant evidence	16-19
Generalisability	21	Discuss the generalisability (external validity) of the study results	19-20
Other information			
Funding	22	Give the source of funding and the role of the funders for the present study and, if applicable, for the original study on which the present article is based	22

*Give information separately for exposed and unexposed groups.

Note: An Explanation and Elaboration article discusses each checklist item and gives methodological background and published examples of transparent reporting. The STROBE checklist is best used in conjunction with this article (freely available on the Web sites of PLoS Medicine at <http://www.plosmedicine.org/>, Annals of Internal Medicine at <http://www.annals.org/>, and Epidemiology at <http://www.epidem.com/>). Information on the STROBE Initiative is available at www.strobe-statement.org.

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The impact of COVID-19 on household food insecurity and interlinkages with child feeding practices and coping strategies in Uttar Pradesh, India: A longitudinal community-based study

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1 The impact of COVID-19 on household food insecurity and interlinkages with child feeding
2 practices and coping strategies in Uttar Pradesh, India: A longitudinal community-based study

3 Authors:

4 Phuong Hong Nguyen¹, Shivani Kachwaha², Anjali Pant², Lan Mai Tran³, Sebanti Ghosh⁴, Praveen
5 Kumar Sharma⁴, Vishal Dev Shastri⁴, Jessica Escobar-Alegria⁵, Rasmi Avula², Purnima Menon²

6 Author affiliations:

7 ¹Poverty, Health and Nutrition Division, International Food Policy Research Institute (IFPRI),
8 Washington, DC, USA

9 ²Poverty, Health and Nutrition Division, IFPRI, New Delhi, India

10 ³Alive &Thrive, FHI Solutions, Hanoi, Vietnam

11 ⁴Alive &Thrive, FHI Solutions, New Delhi, India

12 ⁵Alive &Thrive, FHI Solutions, Washington, DC, USA

13 *Corresponding author:

14 Phuong Hong Nguyen, Poverty, Health and Nutrition Division, International Food Policy Research
15 Institute, 2005 I Street, NW, Washington DC, 20005. Phone: 202-826-4088. Email:
16 P.H.Nguyen@cgiar.org

17 **Word count: 3950** (from introduction to conclusion, not including tables)

18 Abbreviations

19 AOR: : Adjusted odds ratio
20 COVID-19 : Coronavirus
21 HFI : Household food insecurity
22 PDS : Public distribution system
23 SES : Socio-economic status
24 THR : Take-home rations

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3 26 **ABSTRACT**
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5 27 **Objectives:** The Coronavirus (COVID-19) pandemic has profound negative impacts on people’s
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8 28 lives, but little is known on its effect on household food insecurity (HFI) in poor setting resources.
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10 29 This study assessed changes in HFI during the pandemic and examined the interlinkages between
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12 30 HFI with child feeding practices and coping strategies.

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14 31 **Design:** A longitudinal survey in December 2019 (in-person) and August 2020 (by phone).

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16 32 **Setting:** Community-based individuals from 26 blocks in 2 districts in Uttar Pradesh, India

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18 33 **Participants:** Mothers with children <2y (n=569)

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20 34 **Main outcomes and analyses:** We measured HFI by using the Household Food Insecurity Access
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22 35 Scale and examined the changes in HFI during the pandemic using the Wilcoxon matched-pairs
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24 36 signed-rank tests. We then assessed child feeding practices and coping strategies by HFI status using
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26 37 multivariable regression models.

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28 38 **Results:** HFI increased sharply from 21% in December 2019 to 80% in August 2020, with 62%
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30 39 households changing the status from food secure to insecure over this period. Children in newly or
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32 40 consistently food-insecure households were less likely to consume a diverse diet (adjusted odds
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34 41 ratio, AOR: 0.57, 95%CI: 0.34, 0.95 and AOR: 0.51, 95%CI: 0.23, 1.12, respectively) compared to
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36 42 those in food-secure households. Households with consistent food insecurity were more likely to
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38 43 engage in coping strategies such as reducing other essential non-food expenditures (AOR: 2.2,
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40 44 95%CI: 1.09, 4.24), borrowing money to buy food (AOR: 4.3, 95%CI: 2.31, 7.95), or selling jewelry
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42 45 (AOR: 5.0, 95%CI: 1.74, 14.27) to obtain foods. Similar findings were observed for newly food-
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44 46 insecure households.

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46 47 **Conclusions:** The COVID-19 pandemic and its lockdown measures posed a significant risk to HFI
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48 48 which in turn had implications for child feeding practices and coping strategies. Our findings

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3 49 highlight the need for further investment in targeted social protection strategies and safety nets as
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5 50 part of multisectoral solutions to improve HFI during and after COVID-19.
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8 51 **Keywords:** COVID-19, child feeding practices, coping strategies, household food insecurity, India,
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Strengths and limitations of this study

- The longitudinal study design allowed measuring the impact of COVID-19 on HFI and its implications for child feeding practices and coping strategies in the context of low-and middle-income countries.
- The study demonstrated the feasibility of measuring HFI via digital data collection methods but indicated some challenges including low response rate and potential response bias.
- The sample of mothers with children <6 months constrained comparison of child feeding practices before and during COVID-19.
- A single point 24-hour dietary recall may be unrepresentative of child feeding patterns.
- The study was not able to assess whether the increase in level of HFI affected child growth.

54 INTRODUCTION

55 The Coronavirus disease (COVID-19) has profound and wide-ranging public health impacts
56 and poses a significant global threat to development. Beyond the direct impacts from the virus, the
57 pandemic will likely have a range of indirect consequences on food insecurity, child malnutrition,
58 morbidity and mortality through disruptions in health and nutrition services, food supply chains, and
59 livelihoods.¹⁻⁴ Early estimates suggest that potential disruptions of health systems and decreased
60 access to food could lead to 1,157,000 additional child deaths and 56,700 additional maternal
61 deaths.⁵ Further, disruptions caused by the pandemic may affect households in multiple other ways
62 including employment and income loss, mobility constraints, and household stress. Experts have
63 warned about the potential consequences of COVID-19, ruining decades of progress, making it
64 unlikely for low and middle income countries to reach the sustainable development goal to “end
65 hunger, achieve food security and improved nutrition and promote sustainable agriculture” by 2030.⁶

66 There have been growing concerns on the impact of COVID-19 on household food insecurity
67 (HFI).^{7 8} Disruptions caused by the pandemic have the potential to influence all “four pillars” of food
68 security including availability, access, utilization, and stability.⁹ The pandemic may influence HFI
69 directly on the supply side by disrupting food systems (such as primary food production, stability of
70 food production, processing, food reserve stockpiles, and marketing) as well as indirectly on the
71 demand side due to impact of lockdowns on households’ incomes, physical access to food, and
72 economic access to food.^{10 11}

73 The impact of COVID-19 on HFI and poor health outcomes is complex, multilevel, and
74 bidirectional.⁴ At the household and individual levels, food insecurity is hypothesized to be a risk
75 factor for both short- and long-term health outcomes through key three pathways: household stress
76 (due to worrying about health issues, job loss and strained finances, and disconnection from social

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3 77 support systems), behavioral coping mechanisms (engaging in high-risk behavior, compromising
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5 78 health care activities for foods, poor mental health and inadequate child feeding and nurturing), and
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8 79 inflammatory pathways.⁴ Expected negative consequences on food, nutrition, and health security of
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10 80 vulnerable groups including young children, pregnant, and lactating women may further exacerbate
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12 81 existing social and health inequities.¹²
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15 82 Despite established frameworks and global understanding of the threat to HFI during the
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17 83 pandemic, empirical investigations are very limited to date. Available information on HFI was
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19 84 mainly collected during the pandemic ¹³⁻¹⁶ and very few studies have examined the dynamic changes
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21 85 of HFI over the COVID pandemic’s evolution in low-and middle-income countries (LMICs) ^{17 18},
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23 86 particularly in the South Asian or Indian context. India is facing a double crisis- COVID-19 and food
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25 87 insecurity,¹⁹ carrying the second highest burden of COVID-19 in the world with nearly 8 million
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27 88 total confirmed cases and 119,502 deaths as of 28th October 2020.²⁰ Yet only few studies are
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29 89 available on food security using data at the farmer and supply-side level,^{21 22} and negligible evidence
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31 90 on the demand side. Very little is known about how women and children within households may be
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33 91 affected by HFI. Further, there is lack of empirical evidence on the changes in HFI during the
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35 92 pandemic. Addressing this knowledge gap is critical for action, specifically at this decisive time in
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37 93 India when the COVID-19 trajectory is still uncertain, and there is concern about potential spikes in
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39 94 the coming months. Our study seeks to address this gap in the current literature with the objectives to
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41 95 1) assess the changes in HFI before and during the pandemic in Uttar Pradesh, India; and 2) examine
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43 96 the interlinkages between HFI with child feeding practices and coping strategies to deal with
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45 97 household economic hardships and obtain foods.
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98 METHODS

99 Design

100 This study is a follow-up of a cluster-randomized trial (2017-2019) which aimed to assess the
101 impact of strengthening delivery of maternal nutrition interventions, including micronutrient
102 supplements and intensifying interpersonal counseling and community mobilization, implemented
103 through government ANC services in Uttar Pradesh, India.²³ Details of the parent study have been
104 described elsewhere.²⁴ Briefly, we conducted in-person repeated cross-sectional surveys of 1,800
105 recently delivered women as part of the cluster-randomized trial.²³ The end-line data collection was
106 conducted in December 2019, prior to the onset of COVID-19 pandemic, providing an opportunity
107 for a pre-and-post assessment of the effect of COVID-19 on food insecurity in this context.

108 Data sources

109 The household survey was conducted with mothers of children <2 years old following the
110 same study design and sampling frame as in the cluster-randomized trial. Of the 1,849 mothers
111 surveyed at endline from the parent study in December 2019, 587 could be reached for a phone
112 interview in August 2020, yielding a response rate of 32% (**Figure 1**). Reasons for not being able to
113 conduct phone survey included unavailable phone number (n=388), phone unreachable or switched
114 off (n=667), wrong number (n=136), refusal to participate (n=63) and child death (n=9). Reasons for
115 losses to follow-up in the phone survey were similar between intervention and comparison areas
116 (results not shown). The total sample of non-pregnant mothers (n=569) interviewed in both surveys
117 were used for the analysis.

118 <Insert Figure 1 here>

Variables

Household food security was measured before (in-person) and during the pandemic (by phone) using the standard FANTA/USAID’s Household Food Insecurity Access Scale.²⁵ A recent study in Mexico examined the internal validity of food insecurity scales administered through in-person vs. phone surveys and found phone surveys were a feasible strategy to measure food security during COVID-19.¹⁸ Mothers were asked nine questions related to the household’s experience of food insecurity in the 30 days preceding the survey. These questions capture 3 main domains of household food insecurity: anxiety and uncertainty about the household food supply (1 item), insufficient quality (3 items), and insufficient quantity and its physical consequences (5 items). We reported the percentage of households that experienced 1) any food insecurity occurrence among nine questions, 2) any of a specific domain, and 3) food insecurity condition categorized as food-secure and mild, moderately, or severely food-insecure.

Information on child feeding practices were assessed using the standard WHO indicators ²⁶, on the basis of the maternal recall of all foods and liquids consumed by the child in different time periods of the previous 24 hours before the survey (**Supplemental Table 1**). All food items were categorized into the 7 food groups used in the WHO guideline:²⁶ 1) starchy staple foods, 2) legumes and nuts, 3) dairy products (milk, yogurt, and cheese), 4) flesh foods, 5) eggs, 6) vitamin A rich fruits and vegetables, and 7) other fruits and vegetables. Minimum dietary diversity was defined as children who consumed foods from 4 or more out of 7 food groups in the previous 24 hours. Data for complementary feeding practices were not available during the in-person survey in December 2019, because all mothers had children <6 months during that time.

Households were also asked about access to social protection, especially food supplementation they received for mothers and children from the government during the lockdown

period and during the 30 days prior to the survey, such as take-home rations (THR) and use of public distribution system (PDS). Finally, information on different coping strategies that the household had to engage in the past 30 days due to lack of food was collected, including spending savings, reducing essential non-food expenditure, borrowing money, or selling jewelry/gold.

Other potential factors associated with food security or child feeding practices were obtained for mothers (age, education level, and occupation), child (age and sex), and households (religion, scheduled caste/tribal - designated historically disadvantaged groups in India, number of children <5y, and household socio-economic status- SES). The SES index (collected in person survey) was constructed using a principal component analysis from multiple variables including household ownership of assets, livestock, and housing quality.²⁷

Data analysis

We compared background characteristics of the analytic sample (mothers who completed both surveys, in-person survey before COVID and phone survey during COVID) and the non-analytic sample (those who completed in-person surveys only) using student t-test (for continuous variables) and chi-square test (for categorical variables). We used descriptive analysis to report HFI before and during the pandemic and child feeding practices. We examined changes in HFI before and during the pandemic using Wilcoxon matched-pairs signed-rank tests.

To examine differences in child feeding practices and coping strategies by food insecurity status, we created three categories of households: 1) food secure (households that were food secure before and during COVID-19 pandemic), 2) consistently food insecure (households that were food insecure before and during COVID-19); 3) newly food insecure (households that were food secure before COVID-19 but became food insecure during the pandemic). We then compared child feeding practices and coping strategies among the three categories using multivariable regression models,

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3 166 adjusting for child age and sex, breastfeeding status, mother’s age, religion, education, scheduled
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5 167 caste, number of children <5y in the household and household SES. We also examined uptake of
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8 168 social protection programs such as food supplementation and cash transfer as potential strategies to
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10 169 improve HFI. All statistical analyses were undertaken using Stata version 16. Statistical significance
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12 170 was defined as p-value < 0.05.

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15 171 **Ethical approval**

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17 172 Informed consent in the local language was obtained from mothers, frontline workers, and block
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19 173 managers prior to their participation in the study. The research protocol received ethical clearance
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21 174 from the Institutional Review Board at the International Food Policy Research Institute (IRB
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23 175 #00007490) and the Suraksha Independent Ethics Committee in India (IRB #2017-10-9094).
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26 176 Additional permissions for data collection were provided by the State Government of Uttar Pradesh.

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28 177 **Patient and public involvement statement**

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31 178 Patients or the public were not involved in the design, or conduct, or reporting, or dissemination
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33 179 plans of our research.

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37 181 **RESULTS**

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40 182 **Characteristics of the study sample**

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43 183 At the time of in-person survey in December 2019, all mothers had an infant between the
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45 184 ages of 0–5.9 months of age with an average age of 3 months (Table 1). On average, mothers were
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47 185 ~26 years and the majority of them (>90%) were housewives. Nearly all women were Hindu (92%)
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49 186 and nearly half of them belonged to a backward community (44-47%). Mothers in the final analytic
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51 187 sample had higher education (8.2 vs. 6.7 years of schooling, p<0.001) and lived in wealthier (27 vs.
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53 188 17% in quintile 5, p<0.001) and more food secure households (79 vs. 75%, p=0.08) compared to

those in the non-analytic sample. Mothers belonging to intervention and control areas of the maternal nutrition intervention (from 2017 to 2019) were equally represented in the analytic sample. In the follow-up phone survey in August 2020- children were on average 11.6 months old (ranging between 8 and 14 months).

Table 1: Background characteristics¹ of the study sample that participated in surveys before and during the COVID pandemic (December 2019 and August 2020)

	Analytic sample (both in person and phone surveys before and during the pandemic) (n= 569)	Non-analytic sample (only in person survey before the pandemic) (n= 1,280)	p
Age of respondent mother (years)	25.5 (3.8)	25.7 (4.0)	0.47
Education (years)	8.2 (4.3)	6.7 (4.6)	<0.001
Never attended school	14.1	24.8	<0.001
Primary school (grade 1-5)	13.9	16.3	
Middle school (grade 6-9)	24.3	24.7	
High school (grade 10-12)	30.1	23.3	
Graduate and above	17.8	10.9	
Occupation as housewife	91.7	93.0	0.35
Child age, months	3.0 (1.6)	2.8 (1.6)	0.041
Child sex (male)	49.0	49.5	0.84
Number of children <5y	1.6 (0.7)	1.7 (0.7)	0.60
Religion as Hindu	93.7	91.1	0.061
Caste category			
Scheduled caste/tribe	38.3	38.4	0.25
Other Backward Class	44.3	47.0	
General/others	17.4	14.5	
Household socio-economic status			
Quintile 1	11.6	23.8	<0.001
Quintile 2	19.2	20.4	
Quintile 3	18.1	20.9	
Quintile 4	24.6	18.0	
Quintile 5	26.5	17.0	
Household food security status			
Food secure	79.3	74.5	0.08
Mildly food insecure	5.6	5.9	
Moderate food insecure	5.1	5.3	

Severe food insecure	10.0	14.3
Maternal nutrition (2017-2019)		
Intervention area	282	640
Comparison area	287	640

¹Background data presented in this table were from in -person survey in December 2019.

Changes in food security status during the COVID pandemic

Prior to the pandemic, 21% of households were identified as food insecure. Six months into the pandemic, the prevalence of any food insecurity increased from 21 to 80%, of which mildly, moderately, and severely food insecure households increased by 14 percentage points (pp), 25 pp and 20 pp, respectively (Figure 2A). Overall, 62% households changed from being food secure to food insecure during the pandemic. HFI experiences sharply increased for each domain. For example, the prevalence of anxiety and uncertainty about the household food supply, insufficient quality of food, and insufficient quantity of food consumed during the pandemic were 45, 78, and 42%, respectively, which was much higher than before the pandemic (12, 18, and 14%, respectively) (Figure 2B).

<Insert Figure 2 here>

Child feeding practices during the COVID pandemic

Child feeding practices are of major concern, with only 19% of children achieving minimum dietary diversity (≥ 4 food groups). An extremely low proportion of children were fed flesh foods (1%), eggs (1%) and vitamin-A rich fruits and vegetables (4%). One-third of the children consumed other fruits and vegetables and nearly two-thirds consumed legumes and nuts in the 24 hours prior to the survey (Figure 3).

<Insert Figure 3 here>

Association between food insecurity status and child feeding practices during the COVID pandemic

Children living in households that became food-insecure since the pandemic were less likely to consume a diversified diet (18 vs. 28%; adjusted odds ratio, AOR: 0.57, 95% CI: 0.34, 0.95) as well as legumes and nuts (57 vs. 69%; AOR: 0.61, 95% CI: 0.38, 0.97) compared to children living in consistently food secure households (Table 2). Child feeding practices were worse in the households that were food insecure at both times. Specifically, fewer children in consistently food-insecure households consumed a diverse diet (12.4 vs. 28%; AOR: 0.51, 95% CI: 0.23, 1.12) and other fruits and vegetables (21 vs. 40%; AOR: 0.50, 95% CI: 0.26, 0.97) compared to those in food secure households.

225 **Table 2: Association between child dietary diversity and household food insecurity status during the pandemic**

	Currently food secure n=116	Newly food insecure ¹ n=354	Consistent food insecurity n=99	New food insecurity vs. food secure ²				Consistent food insecurity vs. food secure ²			
	%	%	%	Crude OR (95%CI)	P	Adjusted OR (95%CI)	P	Crude OR (95%CI)	P	Adjusted OR (95%CI)	P
Grain	79.3	80.8	78.8	1.1 (0.65,1.85)	0.73	0.98 (0.57, 1.69)	0.95	0.97 (0.50,1.87)	0.93	0.87 (0.43, 1.77)	0.64
Legumes and nuts	69.0	56.8	55.6	0.59 (0.38,0.93)	0.02	0.61 (0.38, 0.97)	0.04	0.56 (0.32,0.98)	0.04	0.69 (0.38, 1.25)	0.22
Dairy	74.1	76.3	79.8	1.12 (0.69,1.82)	0.64	1.22 (0.74, 2.01)	0.43	1.38 (0.72,2.62)	0.33	1.72 (0.87, 3.41)	0.12
Flesh foods	0.9	0.6	2.0	0.66 (0.06,7.36)	0.74	0.63 (0.05, 7.47)	0.72	2.37 (0.21,26.55)	0.48	1.46 (0.09, 23.2)	0.79
Eggs	0.9	1.1	1.0	1.33 (0.15,12.02)	0.80	1.10 (0.11, 10.5)	0.94	1.17 (0.07,19.01)	0.91	0.87 (0.04, 17.0)	0.93
Vit A rich fruits and vegetables	4.3	4.3	2.0	0.99 (0.35,2.79)	0.99	0.77 (0.26, 2.26)	0.64	0.46 (0.09,2.41)	0.36	0.31 (0.05, 1.79)	0.19
Other fruits and vegetables	39.7	33.5	21.2	0.77 (0.50,1.18)	0.17	0.73 (0.46, 1.16)	0.18	0.41 (0.22,0.75)	0.004	0.50 (0.26, 0.97)	0.042
Minimum dietary diversity (≥ 4 food groups)	28.1	17.9	12.4	0.56 (0.34,0.91)	0.02	0.57 (0.34, 0.95)	0.03	0.36 (0.17,0.75)	0.006	0.51 (0.23, 1.12)	0.09

226 ¹Currently food secure was defined as households who were food secure before and during COVID-19 pandemic and those who were food insecure at some point
227 before but were no longer food insecure during the pandemic, consistent food insecurity was defined as both food insecure before and during COVID-19; newly
228 food insecurity insecure was defined as food secure before COVID-19 but became food insecure during the pandemic. ²Model controlled for child age, sex,
229 breastfeeding status, mother’s age, education, caste, religion, number of children<5y, and household SES.

Challenges faced during the pandemic

The key challenges faced by households in consuming food in the last 7 days preceding the survey included non-availability of funds to buy food (59%), non-availability of foods in market area (21%), increase in food prices (17%), and inability to travel or transport issues (21%). The pandemic-related challenges had resulted in unemployment/loss of income in 78.4% households (Figure 4).

<Insert Figure 4 here>

Coping strategies and household food insecurity status during the COVID pandemic

More than 60% of households disbursed their savings and reduced their expenses on health and non-food essentials to meet food and other requirements, irrespective of their food security status (Table 3). Households experiencing food insecurity were more likely to engage in coping strategies related to obtaining food including reducing their expenditure on non-food essentials (AOR: 1.7, 95% CI: 1.08, 2.78 and AOR: 2.2, 95% CI: 1.09, 4.24 for newly and consistently food-insecure households, respectively), borrowing money to buy food (AOR: 3.6, 95% CI: 2.19, 5.80 and AOR: 4.3, 95% CI: 2.31, 7.95, respectively), and selling jewelry (AOR: 3.0, 95% CI: 1.16, 7.92 and AOR: 5.0, 95% CI: 1.74, 14.27, respectively). Additionally, newly food-insecure households were ~2 times more likely to spend saving or sell households/assets/transport means.

Table 3: Association between current coping strategies and household food insecurity status during the pandemic

	Currently food secure	Newly food insecure ¹	Consistent food insecurity	New food insecurity vs. food secure ²				Consistent food insecurity vs. food secure ²			
	n=116	n=354	n=99	Crude OR (95%CI)	p	Adjusted OR (95%CI)	p	Crude OR (95%CI)	p	Adjusted OR (95%CI)	p
Spent savings	83.6	91.0	89.9	1.97 (1.07,3.63)	0.03	2.05 (1.09, 3.88)	0.027	1.74 (0.77,3.95)	0.18	1.73 (0.71, 4.18)	0.23
Reduced health expenditure	64.7	72.0	74.7	1.41 (0.90,2.20)	0.13	1.33 (0.84, 2.10)	0.23	1.62 (0.90,2.92)	0.11	1.49 (0.79, 2.80)	0.22
Reduced other essential non- food expenditures such as education and clothes	66.4	77.4	81.8	1.73 (1.10,2.74)	0.02	1.73 (1.08, 2.78)	0.024	2.28 (1.20,4.32)	0.01	2.15 (1.09, 4.24)	0.027
Borrowed money to buy food	25.0	54.8	63.6	3.64 (2.27,5.82)	<0.001	3.57 (2.19, 5.80)	<0.001	5.25 (2.92,9.44)	<0.001	4.29 (2.31, 7.95)	<0.001
Reduced expenses on agricultural, livestock or fisheries inputs	23.3	33.3	35.4	1.65 (1.02,2.67)	0.04	1.64 (0.99, 2.72)	0.055	1.80 (0.99,3.27)	0.05	1.78 (0.94, 3.38)	0.078
Selling jewelry/gold	4.3	13.0	21.2	3.32 (1.28,8.56)	0.01	3.03 (1.16, 7.92)	0.024	5.98 (2.16,16.53)	0.001	4.98 (1.74, 14.27)	0.003
Selling household goods or productive assets or means of transport	19.0	29.4	27.3	1.78 (1.06,2.98)	0.03	1.78 (1.03, 3.07)	0.038	1.6 (0.84,3.04)	0.15	1.64 (0.83, 3.26)	0.16

¹Currently food secure was defined as households who were food secure before and during COVID-19 pandemic and those who were food insecure at some point before but were no longer food insecure during the pandemic, consistent food insecurity was defined as both food insecure before and during COVID-19; newly food insecure was defined as food secure before COVID-19 but became food insecure during the pandemic. ²Model controlled for mother's age, education, caste, religion, number of children<5y, and household SES.

252 Social protection before and during the COVID pandemic

253 The proportion of households where children received take-home rations (THR) from the ICDS
254 program was similar before and during the pandemic and was slightly higher in food-insecure
255 (~63%) compared to food-secure households (55-59%) (Figure 5). Coverage of PDS rations
256 increased significantly during the pandemic for both food-insecure (61 to 71%) and food-secure
257 households (from 49 to 72%); the increase was smaller among beneficiaries from consistently
258 food-insecure compared to those in food-secure households (9.3 pp vs. 23 pp).

259 <Insert Figure 5 here>

260 DISCUSSION

261 In response to global concerns on the impact of COVID-19 on maternal and child food
262 and nutrition insecurity, our study provides unique evidence of changes in HFI before and during
263 the pandemic and its linkages with child feeding practices as well as coping strategies to obtain
264 foods among food secure and insecure households. We found that HFI increased substantially
265 during the pandemic (60 pp), with a large portion related to insufficient quality (78%) and lower
266 levels related to insufficient quantity (42%). Children living in food-insecure households were
267 less likely to consume a diversified diet, mainly due to less consumption of legumes and nuts,
268 fruits, and vegetables. In order to overcome the challenges during the pandemic, households
269 were compelled to engage in several coping strategies related to spending existing savings,
270 reducing household expenditures, selling assets, or borrowing money.

271 Our findings were consistent with the global literature on the increase in HFI during the
272 pandemic.²⁸⁻³² However, most previous studies mainly obtained information during the pandemic
273 and did not have data prior to the onset of the pandemic. A rapid assessment conducted in
274 LMICs including Kenya, Nigeria, Mozambique, and Rwanda showed that 79-87% of

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3 275 respondents were worried about lack of sufficient food during COVID-19.¹³ Similarly, nearly
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5 276 90% of households in rural and urban Bangladesh experienced different levels of food insecurity
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8 277 and engaged in financial or food compromised coping strategies.¹⁵ The prevalence of moderate
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10 278 to severe HFI during the COVID-19 lockdown was lower in Peru, affecting 23% of households,
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12 279 with predictors being low income pre-pandemic, income reduction, or running out of savings
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14 280 during the pandemic.¹⁴ Among the few studies with information before and during COVID-19
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16 281 time, two were from the US, one found 32% increase in HFI since COVID-19 ¹⁶ while the other
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18 282 found an increase of 20%.²⁸ Only two other studies provided estimates of HFI before and during
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20 283 COVID where one found an increase of 14 pp (from 61.1 to 75.1%) in any HFI in Mexico¹⁸ and
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22 284 the other observed an increase of 43.4 pp (from 8.3 to 51.7%) in moderate and severe HFI in
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24 285 Bangladesh.¹⁷ Our study showed much higher magnitude of increase in HFI (~60 pp) compared
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26 286 to other studies, which is a worrisome finding given the high pre-existing levels of food
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28 287 insecurity in India. We also found that HFI was predominantly due to insufficient food quality
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30 288 concerns which was aligned with a previous study which showed increased consumption of high-
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32 289 calorie snack foods and sweets,^{28 30} or cheaper highly processed foods.⁴

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38 290 Our findings indicate challenges to several food security dimensions, including livelihood
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40 291 and income loss, economic and physical access, availability, and utilization. A study on
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42 292 livelihood and dietary effects of COVID-19 with vegetable producers in four states of India
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44 293 reported negative impacts on production, sales, prices, and incomes among majority of farmers,²¹
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46 294 Farm households also reported disruptions to their diets with reduced ability to access nutrient-
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48 295 dense foods, particularly fruit and animal source foods.²¹ Another study in Maharashtra, India
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50 296 found disruptions in the urban-rural food supply chain due to the closure of wholesale markets
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52 297 with uncertainties in food supply, declines in market availability, and increase in food prices.²²
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298 These findings are complementary to our study and the supply-side insights possibly explain
299 some of the trends we observe in food security, child feeding, and coping strategies.

300 To our knowledge, infant and child feeding practices during the pandemic have not been
301 explored in the literature. Our findings showed that the diets of children were suboptimal, with
302 only 19% achieving minimum dietary diversity – a similar result compared to a previous study in
303 Uttar Pradesh, India before COVID pandemic (17%).³³ We also found that children living in
304 food-insecure households had much poorer diets than those in food-secure household, but the
305 proportion of children consuming flesh foods, eggs, and vitamin A fruits and vegetables is very
306 low, irrespective of food security status. During the COVID-19 pandemic, child feeding
307 practices have been reported to change, particularly among food-insecure households, due to
308 higher levels of stress, fewer resources, and less access to food and affordability, leading to
309 restrict the quantity and quality of food their children eat and more parents' controlling feeding
310 behaviors.²⁸ Other studies also showed that mothers of the children in food-insecure households
311 often prioritized shelf-stable foods to deal with food supply disruptions and social-distancing
312 policies, and have a tendency to rely on energy-dense foods for a longer period of time.⁴

313 We found that all households in our study engaged in some coping strategies to obtain
314 food regardless of HFI status, but food-insecure households were more likely to engage in
315 several such practices. Our findings are consistent with literature stating that the main strategies
316 food-insecure households generally rely on to maintain access to food include shifting within
317 their own spending patterns to prioritize food (reducing expenses on health, other non-food
318 expenditures, or agricultural, livestock or fisheries inputs), relying on social network, or access
319 to government nutrition programs.^{4 16} However, all these strategies can easily be impacted when
320 COVID pandemic severely affects the entire household budget, or social-distancing policies

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could affect network access. The coping strategies households have adopted to obtain food during COVID-19 will run out and will not suffice for preventing HFI from getting worse if the pandemic crisis continues.

Social protection strategies are an important intervention to address the rising levels of HFI in the context of COVID-19, particularly for low-income countries.³⁴ A global review of evidence by the World Bank found that India increased coverage of cash transfers from ~2% before the pandemic to about 15% during COVID-19.³⁵ The Indian government also initiated home-delivery of take-home rations for pregnant and lactating women and children and provided one-month free supply of wheat and rice to the poorest ration card holders through the public distribution system.³⁶ Our findings on the increased access to PDS during COVID-19 align with previous conclusions about the important role of the program as an essential component of the Government’s response to food insecurity.³⁷ Despite these measures, food supplementation was received among just over half of households and the increase in access to PDS was smaller among beneficiaries that are consistently food insecure compared to the food secure. These results highlight an important opportunity to strengthen the Government’s response to reduce food insecurity during and after COVID-19 in the short term by improving efficiency of existing social protection strategies and targeting to the most vulnerable populations.^{37 38} A recent costing study conducted in Mexico found it would cost less than 0.06% of the Gross Domestic Product to effectively safeguard families with young children through a cash transfer and basic services subsidy.³⁹ Other strategies which may be considered include outlining specific recommendations to ensure food security for poor and vulnerable populations as done for other developing countries in Africa⁴⁰ and include special initiatives for migrant populations.⁴¹ Certain agricultural reforms may also be considered⁴² such as home gardening,⁴³ diversification of production, and

344 strong local market chains⁴⁴ to alleviate HFI, improve diets, and reduce reliance on coping
345 strategies due to food insecurity.

346 Our study followed the cohort of mothers before the pandemic and 6 months after the
347 onset of COVID-19, thus offering a unique and timely contribution to the literature on the
348 magnitude and nature of increase in HFI before and during the pandemic, and its implications for
349 child feeding practices and coping strategies in the context of LMICs with prevailing high HFI.
350 Given the restrictions on movement and contacting people, we were able to mobilize the phone
351 survey to reach mothers and use the same instrument to measure food security over time. Our
352 experience demonstrated the feasibility of gathering information on HFI via digital data
353 collection methods but indicated potential challenges and bias in the background characteristics
354 of respondents interviewed through in-person vs. phone surveys. Mothers who responded to
355 phone survey had slightly higher education and SES background compared to non-responders,
356 indicating that we may not be able to reach some of the poorest or most vulnerable households
357 through phone surveys. We also experienced similar challenges as other phone surveys⁴⁵
358 including low response rate, several calling schedules during the survey, and potential unknown
359 response bias or residual confounding factors. Since all mothers in our study had children <6
360 months in December 2019, we were unable to obtain information on complementary feeding to
361 compare child feeding practices before and during COVID time. Child feeding was assessed by a
362 single point 24-hour dietary recall which may be unrepresentative of overall dietary exposure.
363 Finally, we were not able to assess whether the increase in level of HFI affected child growth
364 which should be considered in future research.

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CONCLUSION

The COVID-19 pandemic and lockdown measures arising from the pandemic had a significant negative impact on HFI in this context, which in turn had implications for child feeding practices and reliance on coping strategies to obtain foods. Our study highlighted the opportunity to reduce HFI in the short-term with existing resources by improving the targeting of social protection benefits to effectively reach the food insecure and make quality diets accessible. Given the great concerns about the expected increase in HFI as the pandemic continues, strengthened multisectoral responses are needed to ensure effective re-establishment of health and nutrition services, food supply chains, and restoration of livelihoods to improve household food security during and after the pandemic. Policies response to the pandemic also require coordination across different governance systems to guide threat against HFI in future pandemics because the most important impact on food security is related to a serious slowdown in economic activity and disrupted supply chains caused by strict lockdown measures, not the pandemic itself.

FIGURE LEGENDS

Figure 1. Participant flow

Figure 2. Food insecurity experienced by mothers and their household members in the previous 30 days before and during the COVID pandemic

Figure 3: Child feeding practices during the COVID pandemic

Figure 4: The key challenges faced by households during the COVID pandemic

Figure 5: Household receipt of social protection benefits before and during the pandemic, by household food insecurity status

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AUTHOR CONTRIBUTIONS

PHN: conceived paper, analysis, drafted manuscript, consolidated comments from all co-authors, revised and finalized paper.

SK: Field work coordination, literature review, drafted some parts of the manuscript, revised, and finalized paper.

AP: Field work coordination, data analyses, drafted some parts of the manuscript, reviewed manuscript.

LMT: data analyses, visualization for data presentation, reviewed the manuscript.

SG, PKS, VDS, JE: data interpretation and its implications, reviewed and edited the manuscript.

RA and PM reviewed the statistical analyses, supported data interpretation, reviewed, and edited the manuscript.

All authors read and approved the final submitted manuscript.

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CONFLICT OF INTEREST STATEMENT

Authors declare no conflicts of interest.

DATA AVAILABILITY STATEMENT

All data relevant to the study are included in the article or uploaded as supplementary information.

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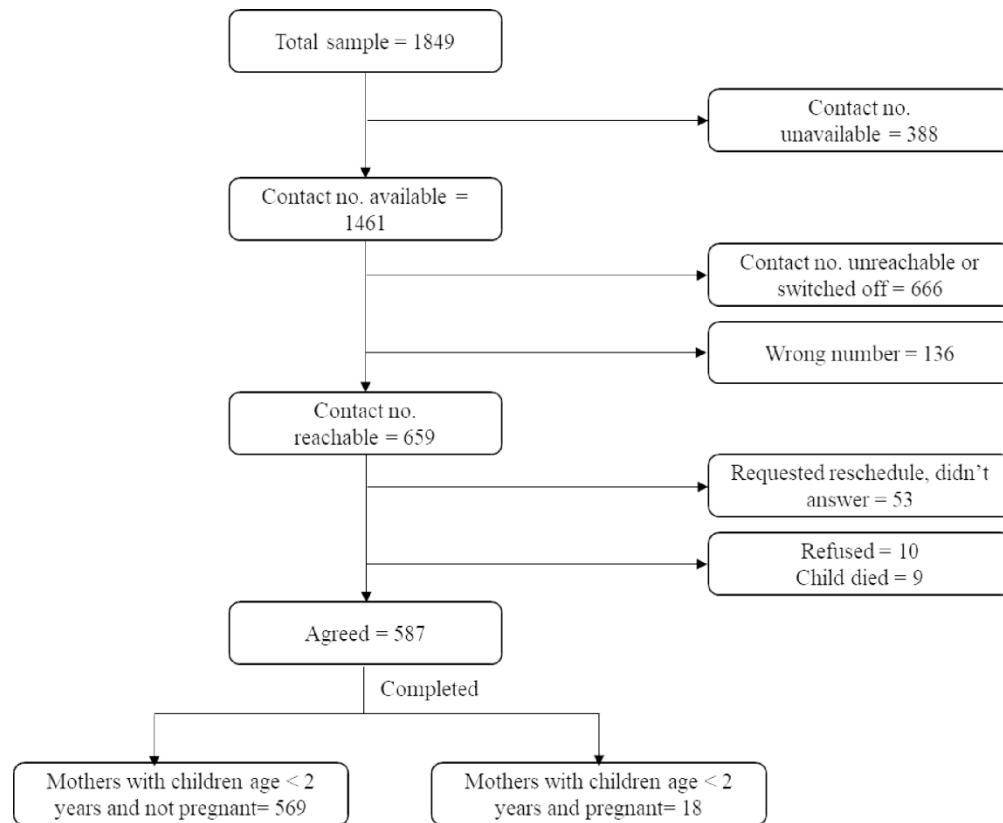


Figure 1. Participant flow

172x140mm (300 x 300 DPI)

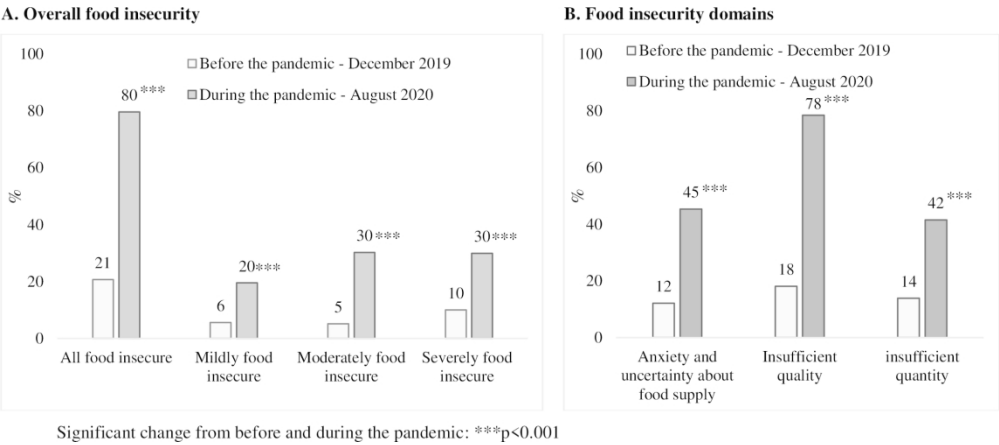


Figure 2. Food insecurity experienced by mothers and their household members in the previous 30 days before and during the COVID pandemic

190x82mm (300 x 300 DPI)

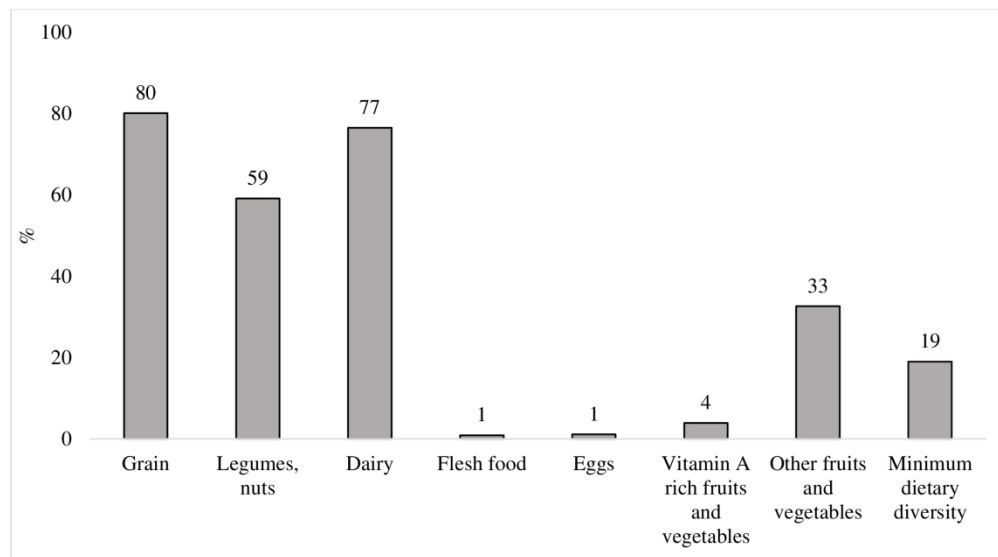


Figure 3: Child feeding practices during the COVID pandemic

168x92mm (300 x 300 DPI)

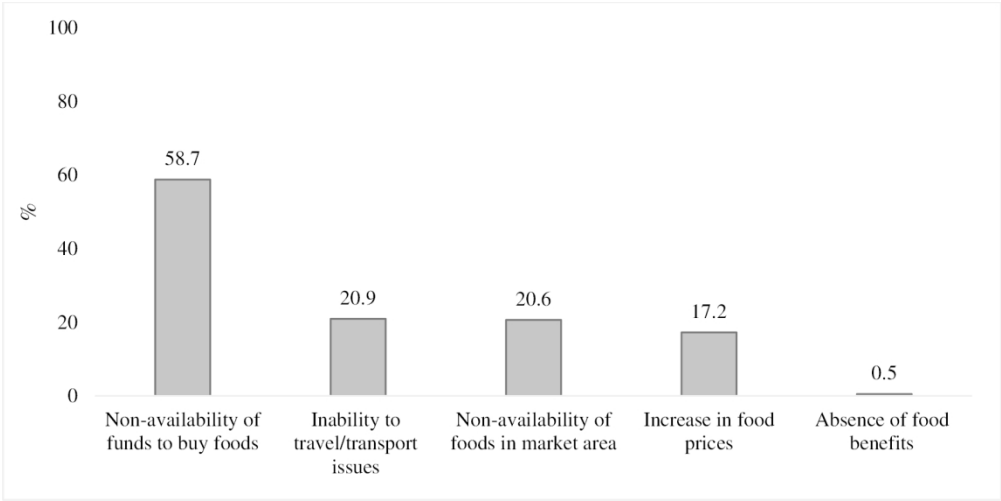


Figure 4: The key challenges faced by households during the COVID pandemic
156x77mm (300 x 300 DPI)

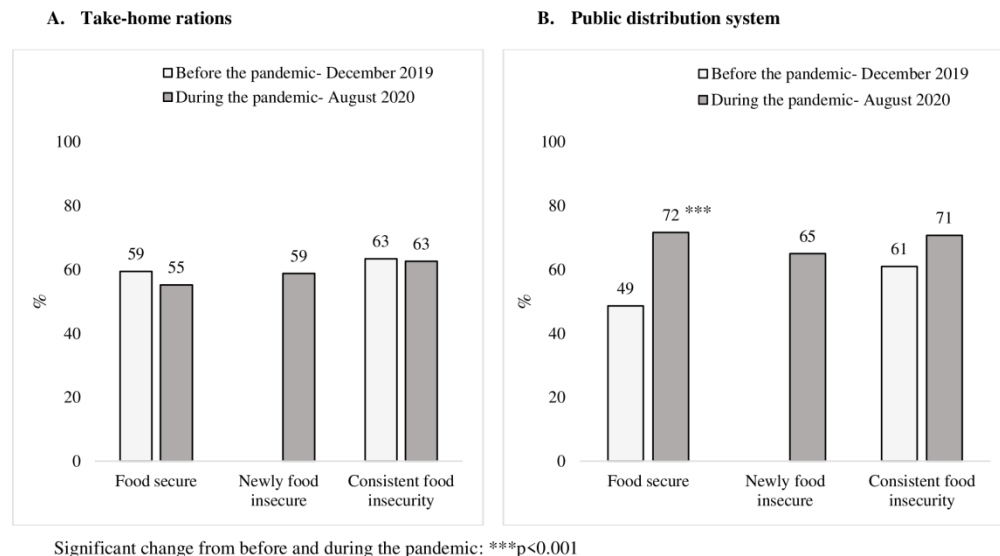


Figure 5: Household receipt of social protection benefits before and during the pandemic, by household food insecurity status

187x102mm (300 x 300 DPI)

Supplemental Table 1: Food items from the maternal recall in the 24 hours prior to the survey

No	Food items
1.	Porridge, bread, rice, noodles, or other foods made from grains
2.	Pumpkin, carrots, squash, or sweet potatoes that are yellow or orange inside
3.	White potatoes, white yams, manioc, cassava, or any other foods made from roots
4.	Any dark green leafy vegetables
5.	Ripe mangoes, ripe papayas, or other local vitamin A-rich fruits
6.	Any other fruits or vegetables
7.	Liver, kidney, heart, or other organ meats
8.	Any meat, such as beef, pork, lamb, goat, chicken, or duck
9.	Eggs
10.	Fresh or dried fish, shellfish, or seafood
11.	Any foods made from beans, peas, lentils, nuts, or seeds
12.	Cheese, yogurt, or other milk products
13.	Any oil, fats, or butter, or foods made with any of these
14.	Any sugary foods such as chocolates, sweets, candies, pastries, cakes, or biscuits
15.	Condiments for flavor, such as chilies, spices, herbs, or fish powder
16.	Grubs, snails, or insects
17.	Foods made with red palm oil, red palm nut, or red palm nut pulp sauce
18.	Baby formula

STROBE Statement—Checklist of items that should be included in reports of cross-sectional studies

	Item No	Recommendation	Page number from manuscript
Title and abstract	1	(a) Indicate the study’s design with a commonly used term in the title or the abstract	2
		(b) Provide in the abstract an informative and balanced summary of what was done and what was found	2-3
Introduction			
Background/rationale	2	Explain the scientific background and rationale for the investigation being reported	5-6
Objectives	3	State specific objectives, including any prespecified hypotheses	6
Methods			
Study design	4	Present key elements of study design early in the paper	7
Setting	5	Describe the setting, locations, and relevant dates, including periods of recruitment, exposure, follow-up, and data collection	7-9
Participants	6	(a) Give the eligibility criteria, and the sources and methods of selection of participants	7-9
Variables	7	Clearly define all outcomes, exposures, predictors, potential confounders, and effect modifiers. Give diagnostic criteria, if applicable	8-9
Data sources/measurement	8*	For each variable of interest, give sources of data and details of methods of assessment (measurement). Describe comparability of assessment methods if there is more than one group	8-9
Bias	9	Describe any efforts to address potential sources of bias	NA
Study size	10	Explain how the study size was arrived at	7
Quantitative variables	11	Explain how quantitative variables were handled in the analyses. If applicable, describe which groupings were chosen and why	9-10
Statistical methods	12	(a) Describe all statistical methods, including those used to control for confounding	9-10
		(b) Describe any methods used to examine subgroups and interactions	9-10
		(c) Explain how missing data were addressed	NA
		(d) If applicable, describe analytical methods taking account of sampling strategy	NA
		(e) Describe any sensitivity analyses	NA
Results			
Participants	13*	(a) Report numbers of individuals at each stage of study—eg numbers potentially eligible, examined for eligibility, confirmed eligible, included in the study, completing follow-up, and analysed	10
		(b) Give reasons for non-participation at each stage	7
		(c) Consider use of a flow diagram	Figure 1
Descriptive data	14*	(a) Give characteristics of study participants (eg demographic, clinical, social) and information on exposures and potential	10-11

		confounders	
		(b) Indicate number of participants with missing data for each variable of interest	10-11
Outcome data	15*	Report numbers of outcome events or summary measures	12-15
Main results	16	(a) Give unadjusted estimates and, if applicable, confounder-adjusted estimates and their precision (eg, 95% confidence interval). Make clear which confounders were adjusted for and why they were included	12-15
		(b) Report category boundaries when continuous variables were categorized	NA
		(c) If relevant, consider translating estimates of relative risk into absolute risk for a meaningful time period	NA
Other analyses	17	Report other analyses done—eg analyses of subgroups and interactions, and sensitivity analyses	NA
Discussion			
Key results	18	Summarise key results with reference to study objectives	16
Limitations	19	Discuss limitations of the study, taking into account sources of potential bias or imprecision. Discuss both direction and magnitude of any potential bias	19
Interpretation	20	Give a cautious overall interpretation of results considering objectives, limitations, multiplicity of analyses, results from similar studies, and other relevant evidence	16-19
Generalisability	21	Discuss the generalisability (external validity) of the study results	19-20
Other information			
Funding	22	Give the source of funding and the role of the funders for the present study and, if applicable, for the original study on which the present article is based	22

*Give information separately for exposed and unexposed groups.

Note: An Explanation and Elaboration article discusses each checklist item and gives methodological background and published examples of transparent reporting. The STROBE checklist is best used in conjunction with this article (freely available on the Web sites of PLoS Medicine at <http://www.plosmedicine.org/>, Annals of Internal Medicine at <http://www.annals.org/>, and Epidemiology at <http://www.epidem.com/>). Information on the STROBE Initiative is available at www.strobe-statement.org.